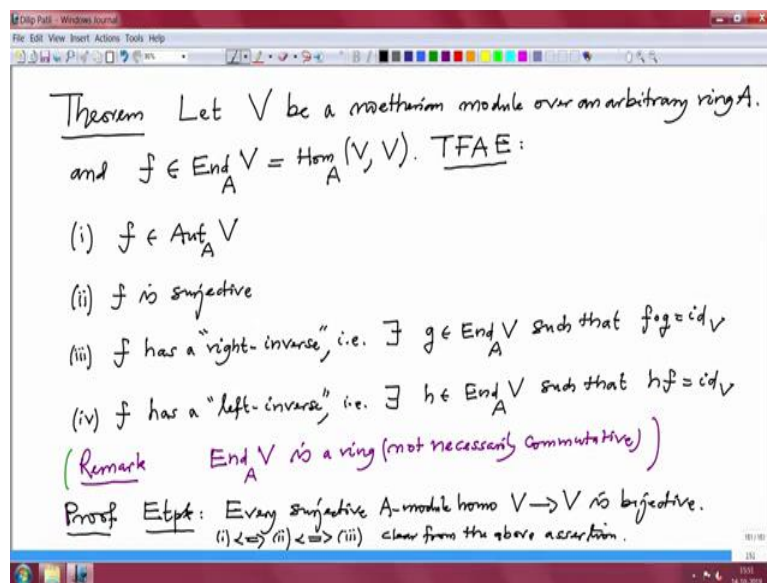


Algebra Geometry and Commutative Algebra
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Lec 24
Consequences of HBT

Welcome back to this second half of this lecture. In the earlier part we have seen Hilbert Basis Theorem some of its consequences. Now, I want to give some more consequences of Hilbert Basis Theorem. But firstly, I will make, alright so, this is like linear algebra. Linear algebra you would have, you were studying linear operators on a finite dimensional vector spaces. This will be more general. Now, I want to do this for a more general setup, where modules are over Noetherian ring or at least modules are finitely generated or arbitrary commutative rings.

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So, I want to prove a theorem like this for example, this was very easy to prove in case we were studying vector spaces cases. So, this is a theorem. So, here let V be a Noetherian module or an arbitrary ring A and again I want to remind you whenever we say ring, it is always a commutative for us and f is an endomorphism of V $\text{End } AV$ again these are called endomorphism, they are (homomor) A -module homomorphism from A to, V to V . So, this is also same as $\text{Hom } A V, V$, homomorphism of A -module from V to V .

Then the following are equivalent. So, proof, no, not proof, the statements. What are the statement? 1 – f is actually an Automorphism, $\text{Aut } AV$. 2 – f is surjective. Remember you would have seen in a linear algebra course that if you have a finite dimensional vector space,

then a linear operator on that V is surjective, if and only bijective, if and only if injective. So, this is an analogous of those statement or an arbitrary ring, but the module should be Noetherian and in the corollary I will deduce you do not have to assume really and A -module is Noetherian we just have to assume that it is finitely generated module.

So, we will do that in a corollary. So third statement, f has a right-inverse, that is there exist g another endomorphism of V such that $f \circ g$ is identity on V . I will explain these why am I using this language and forth f has a left inverse that is there exist another endomorphism h such that hf equal to identity on V and when I say hf the DH compose f . Now, before I go to the proof about this language, so we are working in this. So, this is I would write this as a remark.

See this end AV is a ring, not commutative, not necessarily commutative with addition of obvious addition, point wise and the multiplication is composition. So this is ring and this ring is very important to study in representation theory for instance, and now because this is not commutative, left-inverse and right-inverse the elements may not be invertible and somebody may be left invertible but may not be right invertible and all these things will crop up, this is precisely non commutative algebra, but only for this ring is more interesting, arbitrary non-communicative algebra is not interesting for us at least.

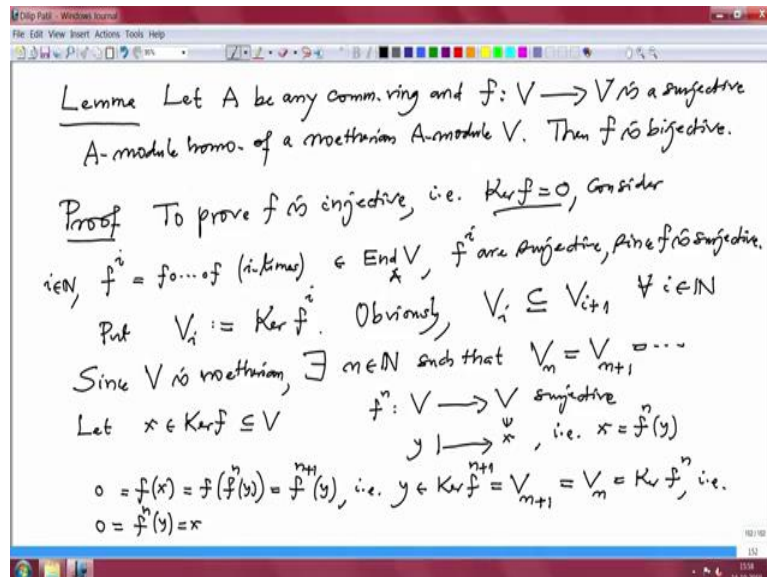
So, this is right inverse in that sense, this is, there is a right, on the right side there is a g so that is inverse, this is a left-inverse in that sense. Okay, so that was one and now let us prove first of all, I will show you that, so proof, proof I will write here it is enough, enough to prove that, enough to prove the following statement that under the given assumption, that means our assumption is V is a Noetherian module over a arbitrary commutative that every surjective homomorphism is bijective.

Every surjective A -module homomorphism from V to V is bijective. Let me show you why is it enough? So, this if I prove this statement every surjective homomorphism is bijective then I would establish the equivalence of 1, if and only if 2, if and only if 3 so, let us see how? So, first of all 1 implies 2 is trivial because one says it is automorphism therefore bijective and therefore surjective So, 1 implies 2 is and this statement which I stated above every surjective homomorphism is bijective that we are assuming that we approved then that implies 1 also and how 3 implies 1 or 3 implies 2 or 3 equivalence of.

So look here three, there is a g says that a four g is identity, identity is surjective, but once identity is surjective then this f is also surjective. It is clear from this. So, right-inverse implies surjectivity. So these 3 will definitely imply 2 and 2 implies 1 already we know and 1 implies 2 implies 3 is also clear. So, all these equivalence is clear from the above statement above assertion, which we will prove, alright.

And now, how to connect 4 with one but we see 4 says there is h like this, but now this will prove that this mean that h is surjective but once h is surjective we have already proved equivalence of 123 then h will be bijective and the inverse of h will be f so, so I will not say, I will not write much but for 4. So, Let us not write much so it is enough to prove that every surjective module of homomorphism is bijective.

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So, that let us write it as a lemma. So, lemma let A be any commutative ring, any commutative ring and f from V to V is a surjective A -module homomorphism of Noetherian A -module B , then f is bijective. This is very simple almost it follows from the definition. So, proof: We want to prove, we have given it a surjective and we want to prove it is bijective that means we want to prove it is injective.

So, to prove f is injective, that is kernel of f is 0, injectivity is equivalent to proving the kernel of f is 0, we consider the powers of f , f power i , f power i means what? For i in natural numbers. f power I means f compose f compose f i times, these are also endomorphisms and if f is surjective, f power i is also surjective, since, f is surjective that is given to us. And now we consider their kernels.

So we will put V_i equal to kernel of f^i , f power i , then it is obvious, obviously V_i is containing V_{i+1} for all i big or equal to 1, for all i in \mathbb{N} this is clear because if somebody is here that means f^i is zero then f^{i+1} which is composed f with f^i that is also zero. So, this is clear, so we have an ascending chain of sub modules of V . So, since V is Noetherian, there exists a natural number n in \mathbb{N} such that $V_n = V_{n+1}$ and then all the way are equal.

So, now we will prove that kernel is zero. So, let x belong to the kernel of f . I want to prove x is zero. So, this is contained obviously in V , but we have given that f is surjective therefore, f^n 's are surjective and therefore, I have given this x , so $f^n x$ is from V to V again this is surjective and the x given here, so there must be y here which goes to this. So, that is we have written x equal to $f^n y$, but then $f x$ is zero, we know kernel x is in the kernel. So, for x is zero on the other end this x is $f^n y$.

So, this $f^n y$, which is $f^{n+1} y$ which is zero. So, that is y belongs to kernel of f^{n+1} which is V_{n+1} , but this is V_n which is a kernel of f^n . So, that means y belongs to kernel of f for n that is when I apply f^n to y it becomes 0. 0 equal to $f^n y$, but what is $f^n y$ that is x . So done. So we have proved that kernel f is zero that means it is injective. So, we have proved the lemma and remember we have only use the fact that V is Noetherian and that means that every ascending chain of some modules of V should become stationary after some time. This is a definition of Noetherian model.

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Theorem Let V be a Noetherian module over an arbitrary ring A .
and $f \in \text{End}_A V = \text{Hom}_A(V, V)$. TFAE:

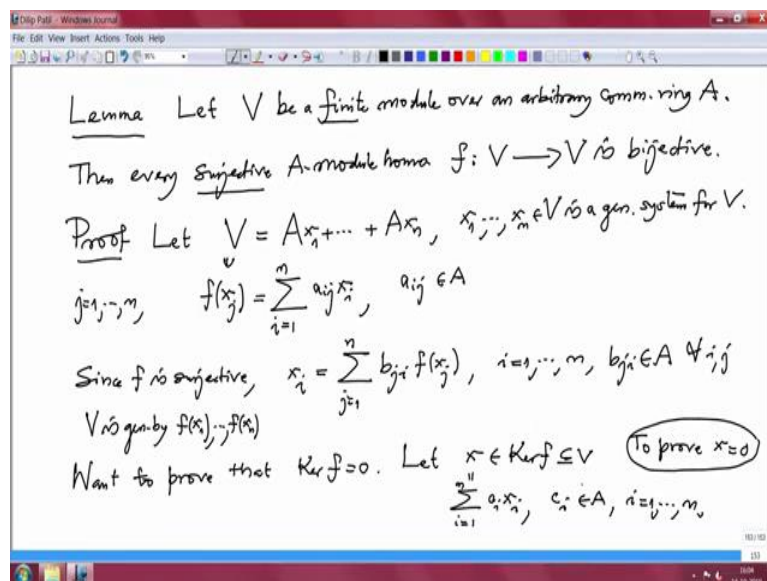
- (i) $f \in \text{Aut}_A V$
- (ii) f is surjective
- (iii) f has a "right-inverse", i.e. $\exists g \in \text{End}_A V$ such that $f \circ g = \text{id}_V$
- (iv) f has a "left-inverse", i.e. $\exists h \in \text{End}_A V$ such that $h \circ f = \text{id}_V$

(Remark $\text{End}_A V$ is a ring (not necessarily commutative))

Proof Etpt: Every surjective A -module homo $V \rightarrow V$ is bijective.
(i) \Leftrightarrow (ii) \Leftrightarrow (iii) clear from the above assertion.

Now, I want to ride the corollary, where I do not want to assume in the above, so I will show you, in the theorem see here, I have said Noetherian, Noetherian module or arbitrary commutative. Now, I want to drop that and just want to say that finitely generated module over a commutative ring. So, the thing I want to prove is this, the same theorem will be proved, if I proved the corresponding statement by dropping the assumption Noetherian and just assuming finitely generated, so this does not work so easily.

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So, the replacement lemma is the following. So, this lemma is more general lemma, but obviously I will deduce to the earlier lemma. So, what now? We have, let V be a finite module over an arbitrary commutative ring A , then every surjective A -module homomorphism f from V to V is bijective. So, proof: We do not have Noetherianness because we know only finite module if the ring were Noetherian, then we have studied finite modules in Noetherian ring and they are Noetherian therefore we can apply the earlier lemma.

So, we have to from this situation someone we have to come down to Noetherian ring and that is where I will use Hilbert Basis Theorem. So let, so we have given a finite module that means it is A -module it is finitely generated. So, let suppose V is generated by n elements X_1 to X_n over A . So, our standard notation Ax_1 plus Ax_n where X_1 to X_n is a generating system for V , is a generating system for V .

And we have given this f so that means, I have given f of x_j , f of x_j for any j from 1 to n this is again an element here. So, that means, these guys I can write in $(\text{com}) A$ linear combinations of the X_1 to X_n again. So, this I can write it as again and the sum summation,

on summation is running over i from 1 to n $a_{ij} x_i$ or some a_{ij} in A , I can always write like that because ϕ is finitely generated and what is the surjectivity means now?

It is given to be surjective. Surjectivity means that since f is surjective every element x_i , x_i is here. So, it is image of somebody. So, therefore, I can write x_i equal to summation $b_{ji} f(x_j)$, j is 1 to n because it is surjective V is generated by f of X_1 f of X_n image of generating set is a generating set. So, therefore x_i days I can write it for every i , i is from 1 to n . And what we want to prove?

We want to prove that kernel is zero, want to prove that kernel of f is zero. So, let x belong to the kernel and I will write here to prove x is 0 that is what our aim is. Well x is in the kernel in A it is in V . Therefore, in any case x as the expression of the type summation i is from 1 to n , $c_i x_i$ where c_i is our elements in A for all i from 1 to n and here also I should have said b_{ji} , their elements in A for all ij . Now, what you see, look at this data, this data involved these a_{ij} , b_{ji} and the c_i . So, obvious thing to do is

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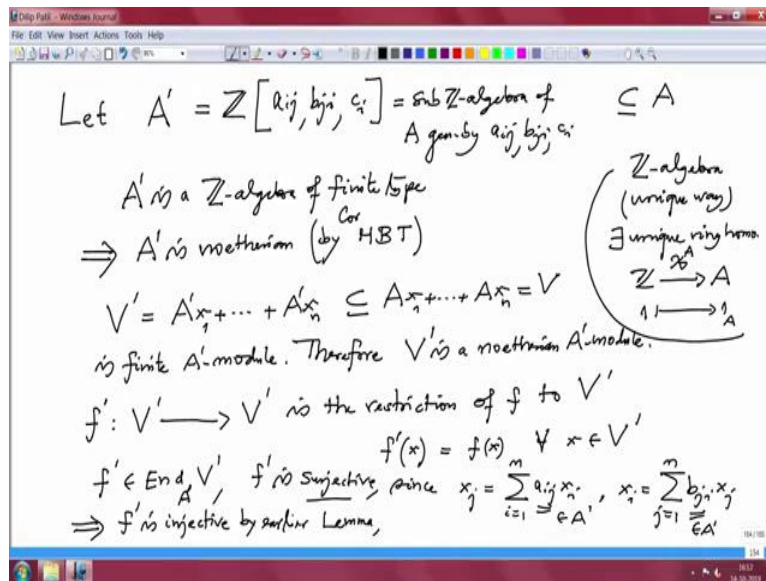
Let $A' = \mathbb{Z}[a_{ij}, b_{ji}, c_i] = \text{sub } \mathbb{Z}\text{-algebra of } A \text{ gen. by } a_{ij}, b_{ji}, c_i \subseteq A$

A' is a \mathbb{Z} -algebra of finite type
 $\Rightarrow A'$ is Noetherian (by HBT) (unique way)
 \exists unique ring homo.
 $\mathbb{Z} \xrightarrow{\alpha} A$
 $1 \mapsto \alpha$

$V' = A'x_1 + \dots + A'x_n \subseteq A'x_1 + \dots + A'x_n = V$
 is finite A' -module. Therefore V' is a Noetherian A' -module.

$f': V' \rightarrow V'$ is the restriction of f to V'
 $f' \in \text{End}_{A'} V'$, f' is surjective since $f(x) = f(x) \forall x \in V'$
 $\Rightarrow f'$ is injective by earlier Lemma, $x \in \text{Ker } f' \Rightarrow x \in \text{Ker } f \Rightarrow x = 0$

Since $x_1 = \sum_{i=1}^m a_{ij} x_i$, $x_i = \sum_{j=1}^m b_{ji} x_j$
 $\Rightarrow x_i \in A'$, $x_j \in A'$



So, let A' prime be the sub-algebra of A over \mathbb{Z} see every ring is every ring A is a \mathbb{Z} -algebra in a unique way, there is no other algebra structure on ring of \mathbb{Z} algebra because there is a unique, there exists a unique ring homomorphism from \mathbb{Z} to A , these are denoted by $\text{map } 1 \mapsto 1$ of A and these dictate everything if you want a ring homomorphism and therefore, as an algebra over \mathbb{Z} , every ring is an algebra over \mathbb{Z} in a unique way.

So, take that \mathbb{Z} algebra structure, there is only one and generate a sub-algebra over \mathbb{Z} so my notation is this by the elements, a_{ij} , b_{ji} and c_i this is a sub-algebra, sub \mathbb{Z} -algebra of A generated by a_{ij} , b_{ji} , and c_i for all, so they are anyway finitely many elements. So it is this A' prime is a finite \mathbb{Z} algebra of finite type. Therefore, A' prime is Noetherian by HBT or by corollary to HBT. Corollary some one of the corollary. Finite type algebra over a Noetherian ring are Noetherian. That is the corollary I am using. So it is Noetherian.

And now this V I am considering V as A' -module over A' prime or V' prime. V' prime is A' -module generated by, generated over A' prime by the same generating set. So this is A' prime x_1 plus plus A' prime x_n , this is a submodule, sub of $A x_1$ plus $A x_n$ which is V . So, I am forgetting this V and concentrating on this V' prime now V' prime is finite A' prime module.

Finite means finitely generated therefore, by earlier results, by earlier lectures we have proved finite modules over Noetherian ring they are Noetherian, therefore, V' prime is a Noetherian A' prime module and what happened to that f ? Now, f still makes sense. f is from V' prime to V' prime. Where does where do the x_i go? f of x_i . If I restrict this V , this f . So better to write like this. This f is, is the restriction of f to V' prime. I am using the same notation.

So, that means what so, okay let me write it f ring here. So, f prime of any, so f prime of any X equal to f of x for all x in V prime. So, it is a module, it is clear that it is a module homomorphism because original f was a module homomorphism. So, f prime is also a module homomorphism. And f , f is all, this f prime is also surjective, first of all f prime belongs to the end that is clear, f prime is surjective is also clear.

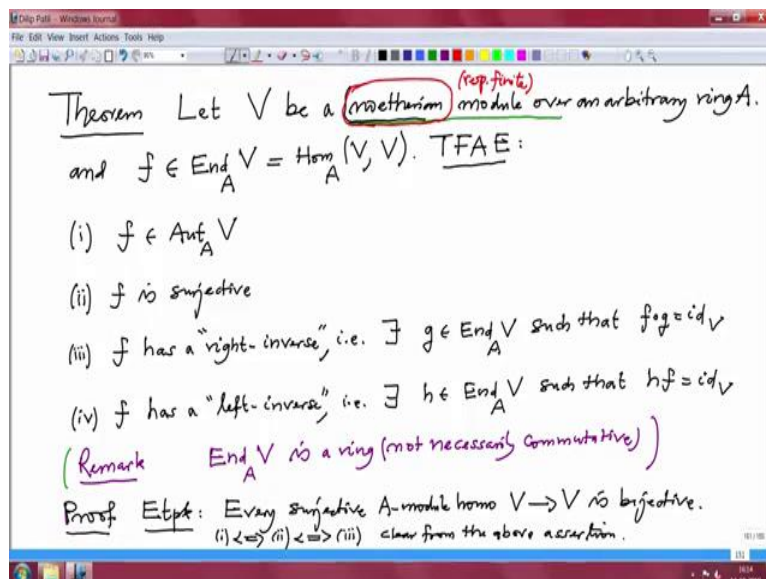
Since, you know this I need to only prove that all the generating system X_1 to X_n of V prime also in the image but that is also clear because we have written X_j as summation $a_{ij} x_i$ i is from 1 to n this and this a_{ij} are actually in A prime. Therefore, these makes sense. So, it is surjective. Also this makes sense and also surjectivity make sense because X_i we have also written it as $b_{ji} x_j$ j is from 1 to n .

So, this means also it is surjective because these are in A prime So, this is surjective this makes sense because it is X_i going to this, so it is indeed a surjective endomorphism of the module. This is over A prime I should say. And also what, what do you know then? Then obviously then by the earlier lemma this f prime has to be injective. So, this implies f prime is injective by earlier Lemma and also what is clear?

This x or the x , I have chosen we wanted we, have fixed an element takes in the kernel and we wanted to prove it is zero. But that x , whatever that X , I just want to show you that. See we wanted to prove this we have fix X which is zero. And this X we have written in a linear combination of which is C_i let me make it clear here. This is C_i and this C_i is also we have added in that A prime.

This C_i is also we have added in a prime therefore, X is also there in V prime, X is in was which was in the kernel which was fixed that is also belongs to V prime and that also belongs actually to the because f prime is restriction of f this is also equal to kernel of, so I should write here this so, that implies x belongs to the kernel of f prime and therefore x is 0 and that ends the proof.

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Theorem Let V be a (Noetherian) ^(resp. finite) module over an arbitrary ring A .
and $f \in \text{End}_A V = \text{Hom}_A(V, V)$. TFAE:

- (i) $f \in \text{Aut}_A V$
- (ii) f is surjective
- (iii) f has a "right-inverse", i.e. $\exists g \in \text{End}_A V$ such that $fg = \text{id}_V$
- (iv) f has a "left-inverse", i.e. $\exists h \in \text{End}_A V$ such that $hf = \text{id}_V$

(Remark) $\text{End}_A V$ is a ring (not necessarily commutative)

Proof Etp: Every surjective A -module homo $V \rightarrow V$ is bijective.
(i) \Leftrightarrow (ii) \Leftrightarrow (iii) clear from the above assertion.

So, we have proved that and now once I approve this lemma which is a replacement for this which was used in this theorem. Now in this theorem, do not use just Noetherian just finite is enough and instead of this statement, you use the second lemma. And therefore, we prove that this theorem is valid for I will correct here.

So, instead of this assumption Noetherian, just or I will say respectively finite then the same, same theorem is true. And the next time we will now interchange the roles for injective and bijective and here we use Noetherian and obviously that time we will have to use Artinian. So, the Artinian and Noetherian are dual to each other. So, like therefore surjectivity and injectivity will be dual to each other and so on.

So, that proved this, this is very important because I will show you the use of these sometime and also I want to write more general statements in commutative algebra obviously connected to the modules over a commutative rings and in particular finite modules over commutative rings. So, with this I will stop this and continue it in the next time. Thank you very much.