

Mathematical Methods 1
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Linear Algebra
Lecture – 30
Normal operators

So, we have seen how Hermitian operators and unitary operators both these classes of operators you know, yield the second result: corresponding to each of these, the eigenvectors of distinct eigenvalues have to be orthogonal right. So, this is a crucial property.

So, you can put together a bunch of orthogonal eigenvectors and create a basis and that is going to span the whole space right. So, that is you know it's of great importance so, this you know gives you matrices which are diagonalizable and so on which we will come back to at a later time.

So, we might be interested in the question: what is the most general class of operators? So, why should we force operators to be Hermitian or unitary or you know some conditions have to be imposed on operators - not all operators satisfy this type of property.

And so, if we ask the question, what is this, what is the general condition that operators must satisfy such that you know this property, the second property which is that any two eigenvectors corresponding to distinct eigenvalues are going to be orthogonal.

It turns out that this kind of a class is called you know normal operators which is a much bigger class of operators in which you know Hermitian operators and unitary operators are also part of this bigger class right. So, that is the topic of discussion for this lecture ok.

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Normal operators.

We have seen that the eigenvectors of distinct eigenvalues are orthogonal both for Hermitian operators and unitary operators. This property of eigenvectors is of crucial importance. Here we will show that this property holds generally for a class of operators known as normal operators. An operator N is said to be a normal operator if it commutes with its adjoint. That is,

$$NN^\dagger = N^\dagger N.$$

We can immediately verify that both Hermitian and unitary operators are normal operators (check!), and thus normal operators are the more general class.

⋮

Properties of normal operators

So, an operator is called normal if it commutes with its adjoint right NN^\dagger is equal to $N^\dagger N$ right. So, this operation NN^\dagger minus $N^\dagger N$ is called the commutator and if that commutator is 0 right which is the same as saying NN^\dagger is equal to $N^\dagger N$, then we say that such an operator is normal right. You can immediately check that both unitary operators and Hermitian operators are normal operators right.

So, Hermitian operators clearly N^\dagger it is going to be N itself and N^\dagger will be N so, then NN^\dagger is of course, equal to $N^\dagger N$ and the defining property of a unitary operator is UU^\dagger is equal to $U^\dagger U$ is equal to you know identity right. So, it turns out that you do not need this to be equal to identity. If you just manage to show that a NN^\dagger is equal to $N^\dagger N$ that is already enough right.

So, these are a broader class of operators, you know it is already enough as far as you know this property that we are going to prove is concerned which is about the second property related to eigenvectors right. If it also is equal to identity, we have seen that it is the class of unitary operators and that gives you unique modularity for the eigenvalues.

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If N is a normal operator, and $|x\rangle$ is an eigenvector of N with eigenvalue λ , then $|x\rangle$ is an eigenvector also of the operator N^\dagger , and with eigenvalue λ^* .

We are given:

$$N|x\rangle = \lambda|x\rangle \quad (1)$$

Let us consider the vector


$$|y\rangle = N^\dagger|x\rangle - \lambda^*|x\rangle$$

and compute its inner product with itself

$$\begin{aligned} \langle y|y\rangle &= \langle x|N N^\dagger|x\rangle - \lambda^* \langle x|N|x\rangle - \lambda \langle x|N^\dagger|x\rangle + \lambda\lambda^* \langle x|x\rangle \\ &= \langle x|N^\dagger N|x\rangle - \lambda^* \langle x|\lambda|x\rangle - \lambda \langle x|N^\dagger|x\rangle + \lambda\lambda^* \langle x|x\rangle \\ &= \lambda\lambda^* \langle x|x\rangle - \lambda\lambda^* \langle x|x\rangle - \lambda \langle x|N^\dagger|x\rangle + \lambda\lambda^* \langle x|x\rangle \\ &= \lambda\lambda^* \langle x|x\rangle - \lambda \langle x|N^\dagger|x\rangle \end{aligned} \quad (2)$$

where we have used the normal property of N .

Taking the dual of Eqn.(1) we have

$$\langle x|N^\dagger = \lambda^* \langle x|$$


Let us look at this result for eigenvectors of normal operators, but before we go there, there is one more result which we can prove for normal operators. If N is a normal operator and x is an eigenvector of N with eigenvalue λ , then x is an eigenvector also of the operator N^\dagger and with eigenvalue λ^* .

So, we have given N acting on x is equal to λx . So, we want to argue that in fact, x is an eigenvector also of another operator which is the adjoint of N and whose eigenvalue is also something we can work out and that is going to be λ^* . How do we see this? So, the argument is actually quite clever right. So, we already have a priori knowledge of this λ^* being an eigenvalue of N^\dagger and with whose eigenvector is also known.

So, let us just simply consider this vector y , N^\dagger you know is an operator it and x is a vector so, N^\dagger acting on x is a vector and we have the freedom to form another vector which is you know subtracting minus λ^* acting on this vector x . So, now we will show that this is in fact, the 0 vector right. So, how do we do this? We just simply compute the inner product of this vector y with itself. If you do this carefully and you see that you know you get four terms right.

So, the first term is x acting on $N N^\dagger x$ right so, this is something that you should convince yourself right. So, if you take the bra vector corresponding to $N^\dagger x$, that is going to give you $x N$. Then, you have $N^\dagger x$, then you have a minus $\lambda^* x$ so, I

have taken the you know the bra vector corresponding to this x and then x , then you have a minus $\lambda x N^\dagger x$ plus $\lambda \lambda^* x x$ right. So, there are four terms.

And then, you see that two of them immediately simplify. So, you have $x N^\dagger x$ so, so, I am using the normal vector property. So, $N N^\dagger$ is the same as $N^\dagger N$ so, I have the freedom to change the order, then I have minus $\lambda \lambda^*$. So, N acting on x is just λx . So, it is the eigenvalue equation, then I have minus $\lambda x N^\dagger x$, I just leave this as it is plus $\lambda \lambda^* x x$.

Then, but again N acting on x is λx so, this $N^\dagger x$ will give me λ^* . So, I have $\lambda \lambda^*$ inner product of x with x minus again this λ will come out $\lambda \lambda^*$ inner product of x with x , then I have, I leave these last two terms as it is. So, you see that the first two terms get cancelled so, this will go away to 0, these two terms so, then you are just left with $\lambda \lambda^* x x$ minus λ times this matrix element $x N^\dagger x$.

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where we have used the normal property of N .

Taking the dual of Eqn.(1) we have

$$\langle x | N^\dagger = \lambda^* \langle x |$$

Thus Eqn.(2) further simplifies to

$$\langle y | y \rangle = \lambda \lambda^* \langle x | x \rangle - \lambda^* \lambda \langle x | x \rangle = 0.$$

This is only possible if

$$|y\rangle = |0\rangle.$$

Thus

$$N^\dagger |x\rangle = \lambda^* |x\rangle$$

showing that $|x\rangle$ is also an eigenvector of N^\dagger with eigenvalue λ^* .

Eigenvectors of a normal operator corresponding to distinct eigenvalues are orthogonal.

To see this, let us consider two eigenvectors $|x_1\rangle$ and $|x_2\rangle$ with distinct eigenvalues λ_1 and λ_2 respectively. So

So, now we will use this property of you know we will take the dual of this normal vector N x . So, we have $x N^\dagger$ is equal to $\lambda^* x$ right. So, then, there is equation 2. So, then we further simplify to the inner product of $y y$ is $\lambda \lambda^* x x$ minus so, then I am bringing the ket vector here from the right side. So, $x x N^\dagger x$ is equal to λ^*

x so, I have minus $\lambda \lambda^* x$, but both these terms are the same and there is a negative sign here. So, the inner product of y with y is 0.

So, what we have managed to show is that this is basically the 0 vector, y is there is 0 vector because there is only one vector whose inner product with itself is 0 right and that is the 0 vector. And that immediately means that N^\dagger acting on x is equal to λx which is what we set out to prove right.

So, x is if x is an eigenvector of N with eigenvalue λ , it implies that x is also an eigenvector of N^\dagger , but with eigenvalue λ^* . We will use this result to prove the main result which we are after, which is that Eigenvectors of a normal operator corresponding to distinct eigenvalues are orthogonal.

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Eigenvectors of a normal operator corresponding to distinct eigenvalues are orthogonal.

To see this, let us consider two eigenvectors $|x_1\rangle$ and $|x_2\rangle$ with distinct eigenvalues λ_1 and λ_2 respectively. So we have:

$$\begin{aligned} N|x_1\rangle &= \lambda_1|x_1\rangle \\ N|x_2\rangle &= \lambda_2|x_2\rangle \end{aligned} \quad (3)$$

Taking the inner product from the left with $|x_1\rangle$ we have

$$\langle x_1|N|x_2\rangle = \lambda_2\langle x_1|x_2\rangle. \quad (4)$$

But we have also seen that Eqn.(3) implies that

$$N^\dagger|x_1\rangle = \lambda_1^*|x_1\rangle$$

Taking the dual of the above equation we have

$$\langle x_1|N = \lambda_1\langle x_1|$$

Taking the inner product with on the right $|x_2\rangle$ we have

$$\langle x_1|N|x_2\rangle = \lambda_1\langle x_1|x_2\rangle.$$

Subtracting Eqn (4) from Eqn (5) we have

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So, let us consider two eigenvectors x_1 and x_2 with distinct eigenvalues λ_1 and λ_2 right. So, you have N acting on x_1 is $\lambda_1 x_1$ and N acting on x_2 is $\lambda_2 x_2$. So, if you take the inner product from the left with x_1 right, we have x_1 for the second equation right, we have x_1 and x_2 is equal to $\lambda_2 x_1 x_2$.

But we also seen that you know from this equation 3 implies $N^\dagger |x_1\rangle = \lambda_1^* |x_1\rangle$ because $|x_1\rangle$ is an eigenvector of N with eigenvalue λ_1 , $|x_1\rangle$ is also an eigenvector of N^\dagger , but with eigenvalue λ_1^* .

So, now if I take the dual of this equation I have $\langle x_1| N = \lambda_1 \langle x_1|$ and then, I can bring in the ket vector $|x_2\rangle$ from the right hand side $|x_2\rangle$ from right hand side, I have $\langle x_1| N |x_2\rangle = \lambda_1 \langle x_1| x_2\rangle$.

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$\langle x_1| N |x_2\rangle = \lambda_2 \langle x_1| x_2\rangle$. (4)

But we have also seen that Eqn.(3) implies that

$$N^\dagger |x_1\rangle = \lambda_1^* |x_1\rangle$$

Taking the dual of the above equation we have

$$\langle x_1| N = \lambda_1 \langle x_1|$$

Taking the inner product with on the right $|x_2\rangle$ we have

$$\langle x_1| N |x_2\rangle = \lambda_1 \langle x_1| x_2\rangle$$
 (5)

Subtracting Eqn.(4) from Eqn.(5) we have

$$(\lambda_1 - \lambda_2) \langle x_1| x_2\rangle = 0.$$

But $(\lambda_1 - \lambda_2) \neq 0$ since the eigenvalues are distinct. So this forces

$$\langle x_1| x_2\rangle = 0,$$

thus proving the orthogonality of the two eigenvectors. I

So, if I look at equations 4 and 5, I have managed to compute this matrix element $\langle x_1| N |x_2\rangle$ in two different ways and I have found that one of them is $\lambda_2 \langle x_1| x_2\rangle$, the other one is $\lambda_1 \langle x_1| x_2\rangle$.

If I subtract these two, I get $(\lambda_1 - \lambda_2) \langle x_1| x_2\rangle = 0$, the inner product of $|x_1\rangle$ and $|x_2\rangle$ is equal to 0 and the only way this is possible since we have taken λ_1 and λ_2 to be distinct is if the inner product of $|x_1\rangle$ and $|x_2\rangle$ itself is 0 that is proving the orthogonality of two eigenvectors with distinct eigenvalues right.

So, this is a general result, we could have started from this and then, argued that both Hermitian operators and unitary operators are normal operators and therefore, this results holds in each of these cases, but it is instructive to see to individually apply the properties of

each of these kinds of operators and then, you know generalise and a consequence of this property is that all normal operators are you know diagonalizable when we go to matrices.

So, we will discuss just like we have Hermitian operators, there are Hermitian matrices, there are the unitary matrices, there are normal matrices and so on right. If you have an N by N normal matrix so, we will argue later on that such matrices are diagonalizable which means that they have a you know as many eigenvectors as you know as many linearly independent eigenvectors as the size of the matrix and in fact, they can span the whole space right.

So, this is an idea which is best illustrated with examples right, we will do in the course of you know lectures that will follow. At this point, I just want to point out that all the results we have shown so far apply to general linear operators right at for a general linear vector space right. So, later on we will specialise to matrices and you know look at some of these consequences right. That is all for this lecture.

Thank you.