

## Discrete Mathematical Structures

Dr. Kamala Krithivasan

Department of Computer Science and Engineering

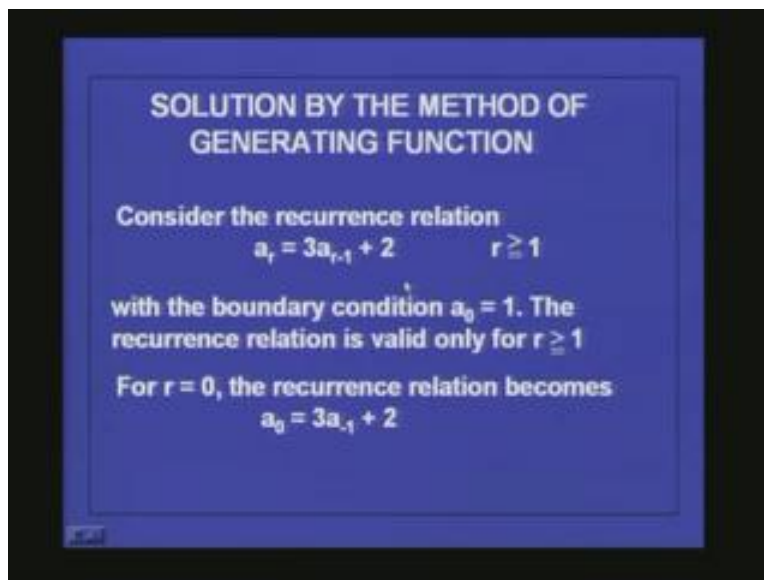
Indian Institute of Technology, Madras

Lecture # 34

### Recurrence Relations (Contd..)

In the last two lectures we saw about recurrence relations and how to solve those recurrence relations or difference equations by finding the homogenous solution and also the particular solution. Depending upon the type of the equation we have to find out homogenous solution then depending upon the right hand side  $f(r)$  we have to decide what type of particular solution we can have and then solve it. The solution to the recurrence relation consists of the sum of both the homogenous solution and the particular solution. This is what we have seen in the last lecture and we get an expression for  $a_r$  the  $r$ th term. We can also get by means of using what is known as generating functions. Earlier we have seen how generating functions can be used for finding the permutations and finding the combinations and so on. We saw both ordinary generating functions and exponential generating functions. Here what we will consider is only ordinary generating functions. How the idea of a generating function can be used to solve the recurrence relation.

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**SOLUTION BY THE METHOD OF GENERATING FUNCTION**

Consider the recurrence relation

$$a_r = 3a_{r-1} + 2 \quad r \geq 1$$

with the boundary condition  $a_0 = 1$ . The recurrence relation is valid only for  $r \geq 1$

For  $r = 0$ , the recurrence relation becomes

$$a_0 = 3a_{-1} + 2$$

This is what we will see today. Let us take this example, so we want to see the solution by the method of generating function for the recurrence relation. Take this example; consider the

recurrence relation  $a_r$  is equal to  $3a_{r-1}$  plus 2 this is true for  $r$  greater than or equal to 1. So  $a_1$  is equal to  $3a_0$  plus 2 and the boundary condition is given as  $a_0$  is equal to 1. This recurrence relation is valid only for  $r$  greater than or equal to 1. We can see that if  $r$  is equal to 0 the recurrence relation becomes  $a_0$  is equal to  $3a_{-1}$  plus 2 and  $a_{-1}$  does not have any significance it does not exist. So this particular recurrence relation is valid only for  $r$  greater than or equal to 1, why we have to consider this particularly here will become evident in a moment. Now, given this you multiply by  $z$  power  $r$  both the left hand side and the right hand side, multiplying both sides of the equation by  $z$  power  $r$  you obtain  $a_r z^r$  is equal to  $3a_{r-1} z^r$  plus  $2z^r$ .

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Multiplying both sides of equation by  $z^r$ , we obtain

$$a_r z^r = 3a_{r-1} z^r + 2z^r \quad r \geq 1$$

Summing for all  $r$ ,  $r \geq 1$ , we obtain

$$\sum_{r=1}^{\infty} a_r z^r = 3 \sum_{r=1}^{\infty} a_{r-1} z^r + 2 \sum_{r=1}^{\infty} z^r$$

Note that

$$\sum_{r=1}^{\infty} a_r z^r = A(z) - a_0$$

$$\sum_{r=1}^{\infty} a_{r-1} z^r = z \sum_{r=1}^{\infty} a_{r-1} z^{r-1} = zA(z)$$

$$\sum_{r=1}^{\infty} z^r = \frac{z}{1-z}$$

Now, sum it over all  $r$ ,  $r$  greater than or equal to 1, here the reason comes. You cannot sum it for  $r$  greater than or equal to because it is not valid for  $r$  is equal to 0. So you have to sum for all  $r$  greater than or equal to 1 because it is valid for those values of  $r$ . So if you sum it for all  $r$  you obtain sigma  $r$  is equal to 1 to infinity  $a_r z^r$  is equal to 3 this 3 you can take out 3 sigma  $r$  is equal to 1 to infinity  $a_{r-1} z^r$  plus 2 times sigma  $r$  is equal to 1 to infinity  $z^r$ .

Now what is this left hand side?

The generating function for  $a_0 a_1 a_2 a_3$  etc is  $a_0$  plus  $a_1 z$  plus  $a_2 z^2$  plus  $a_3 z^3$  and so on. So sigma  $r$  is equal to 1 to infinity  $a_r z^r$  is  $a_1 z$  plus  $a_2 z^2$  and so on. The first term  $a_0$  is not there so if you denote the generating function by  $A(z)$  for this then the first term is not in this so you have to subtract the first term. So this equal to  $A(z)$  which represents the generating function for this  $a_0 a_1 a_2$  sequence and because the first term is not there you subtract that. Similarly, look at this portion leave all  $a_3$  later on we can multiply by that 3.

Consider this portion  $r$  is equal to 1 to infinity  $a_{r-1} z^r$ . Now the generating function is  $a_1 z$  plus  $a_2 z^2$  and so on so general term should be  $a_{r-1} z^{r-1}$  but here we are having  $z^r$  so you have to take out  $z$ . So, if you take out  $z$  from this you will get sigma  $r$  is equal to 1 to infinity  $a_{r-1} z^{r-1}$  which is the general term for the generating function, it

starts from  $r$  is equal to 1 when you put  $r$  is equal to 1 it becomes  $a_0$ . So all the terms are there  $a_0$  plus  $a_1z$  plus  $a_2z^2$  and so on. But you have taken  $1z$  out so this becomes  $z A(z)$ . And, if you look at this term let us consider 2 later and you have to start with  $r$  is equal to 1 so it is  $z$  plus  $z^2$  plus  $z^3$  and so on. But you know that  $1 + z + z^2 + \dots$  is  $\frac{1}{1-z}$ , here again if you take out  $1z$  out this becomes  $1 + z + z^2 + z^3 + \dots$  and that is  $\frac{1}{1-z}$ . So  $\sum_{r=1}^{\infty} z^r = \frac{z}{1-z}$ . Use these values in this equation then you will get  $A(z) - a_0 = 3zA(z) + \frac{2z}{1-z}$ .

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We obtain

$$A(z) - a_0 = 3zA(z) + \frac{2z}{1-z}$$

That is,

$$(1 - 3z)A(z) = \frac{2z}{1-z} + 1$$

Which simplifies to

$$(1 - 3z)A(z) = \frac{1+z}{1-z}$$

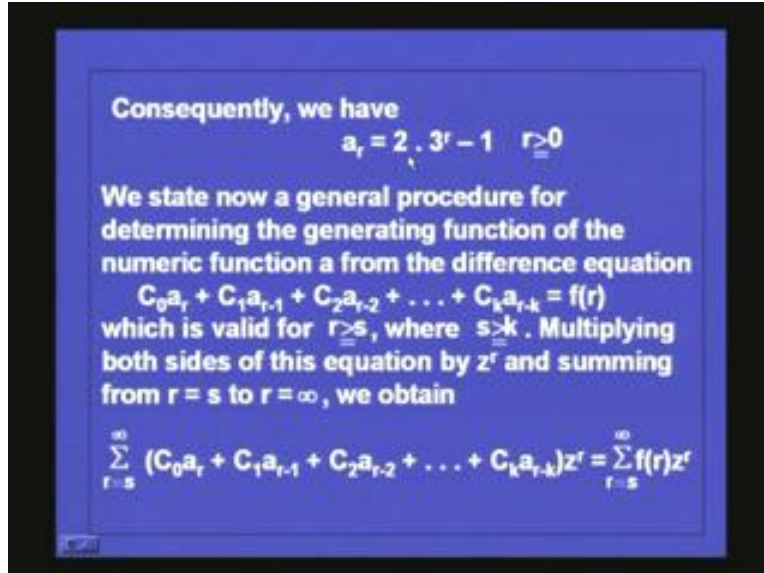
or

$$A(z) = \frac{1+z}{(1-3z)(1-z)}$$

$$= \frac{2}{1-3z} - \frac{1}{1-z}$$

But the boundary condition  $a_0$  is given to be 1 so  $a_0$  you substitute the value of 1 here. So  $A(z) - 1$  is equal to this so bring the 1 to this side and bringing this  $3z A(z)$  to the left hand side you get  $(1 - 3z) A(z)$  is equal to  $\frac{2z}{1-z} + 1$ . If you simplify the right hand side it becomes  $\frac{1+z}{1-z}$ . So you get  $(1 - 3z) A(z)$  is equal to  $\frac{1+z}{1-z}$ . Again bringing this to the right hand side you get  $A(z)$  is equal to  $\frac{1+z}{(1-3z)(1-z)}$ . If you resolve this into partial fractions then this can be written as  $\frac{a}{1-3z} + \frac{b}{1-z}$  and solve for  $a$  and  $b$  you get this value. So the general term here will be, this we can see that can be expanded as  $(1 + 3z + 9z^2 + \dots)$  the whole squared and so on so the general term here will be  $3^r z^r$ . Similarly, here if you expand it is  $(1 + z + z^2 + \dots)$  so the general term is  $z^r$  for this.

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Consequently, you get  $a_r$  is equal to 2 into 3 power  $r$  and minus 1. Look at this equation which we started with  $a_r$  minus 3ar minus 1 is equal to 2 so you can see that 3 is a characteristic root and so  $a_3$  power  $r$  will be a homogenous solution and so using the boundary conditions you can find that it is 2 into 3 power  $r$  and this is the particular solution this also you can very easily see. But we have obtained the same thing by the method of generating function. And this seems to be a better method because in the case of finding the homogenous solution and the particular solution you guess the value of the particular solution and try to substitute the equation and find the exact particular solution. But when you use generating function there is no guessing or anything you have to straight away consider the equation sum it up and then get the answer.

So, what is the general procedure for determining the generating function of the numeric function  $a$  from the difference equation. It is a linear difference equation of the  $k$ th order  $C_0 C_1 C_2 C_k$  are constants and you have this equation  $C_0 a_r$  plus  $C_1 a_{r-1}$  minus 1 plus  $C_2 a_{r-2}$  and so on is equal to  $f(r)$ . This is valid for all  $r$  greater than or equal to  $s$  where obviously  $s$  has to be greater than or equal to  $k$  otherwise it will not have many. So, if you multiply the whole thing by  $z$  power  $r$  and then you sum from  $r$  is equal to  $s$  to infinity because it is valid for  $r$  is equal to greater than or equal to  $s$  you can only start from  $s$  and then sum up to infinity. So we obtain  $r$  is equal to  $s$  to infinity  $C_0 a_r$  plus  $C_1 a_{r-1}$  the whole expression is multiplied by  $z$  power  $r$ . Then on the right hand side you have sigma  $r$  is equal to  $s$  to infinity  $f(r) z$  power  $r$ . Now, take term by term by term and see how you can rewrite them. The first term here will be  $C_0 a_r z$  power  $r$  and you are summing from  $r$  is equal to  $s$  to infinity. So you have  $r$  is equal to  $s$  to infinity  $C_0 a_r z$  power  $r$ .

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Since

$$\sum_{r=s}^{\infty} C_0 a_r z^r = C_0 [A(z) - a_0 - a_1 z - a_2 z^2 - \dots - a_{s-1} z^{s-1}]$$

$$\sum_{r=s}^{\infty} C_1 a_{r-1} z^r = C_1 z [A(z) - a_0 - a_1 z - a_2 z^2 - \dots - a_{s-2} z^{s-2}]$$

.....

$$\sum_{r=s}^{\infty} C_k a_{r-k} z^r = C_k z^k [A(z) - a_0 - a_1 z - a_2 z^2 - \dots - a_{s-k-1} z^{s-k-1}]$$

What is this?

$a_r z^r$  power  $r$  is the general term of the generating function  $a_0 a_1 a_2$  etc. If you called the generating function as  $A(z)$  here the first  $a_0$  up to  $a_{s-1}$  they are missing here it starts from  $a_s z^s$ . So subtracting those from the generating function  $C_0$  you can take out so the rest of it will be  $\sum_{r=s}^{\infty} a_r z^r$  and that is the generating function where the first  $s$  term starting from  $a_0$  to  $a_{s-1}$  are missing so  $A(z) - a_0 - a_1 z - a_2 z^2 - \dots - a_{s-1} z^{s-1}$ .

Similarly, if you look into the second term this is  $\sum_{r=s}^{\infty} C_1 a_{r-1} z^r$  how can you look into this?  $r$  is equal to  $s$  to infinity  $C_1 a_{r-1} z^r$ . The general term in the generating function will be  $a_{r-1} z^{r-1}$  so take out one  $z$  out and  $C_1$  also you can take out so  $C_1 z$  if you take out you get  $\sum_{r=s}^{\infty} a_{r-1} z^{r-1}$ . That is the first  $r-1$  terms are missing in the generating function so that will give rise to  $A(z) - a_0 - a_1 z$  etc up to  $a_{s-2} z^{s-2}$ .

Similarly, each one of the term you can substitute and you can see that  $r$  is equal to  $s$  to infinity  $C_k a_{r-k} z^r$ , you take the  $C_k$  out, you take  $z^k$  also out so remaining term will be  $\sum_{r=s}^{\infty} a_{r-k} z^{r-k}$  and there if a few terms in the beginning of the generating function are missing, so it will be  $A(z) - a_0 - a_1 z$  up to  $a_{s-k-1} z^{s-k-1}$ . Having written the left hand side like this and then adding all these things and equating to the right hand side you get  $A(z)$  will be  $1$  by  $C_0$  plus  $C_1 z$  plus to  $C_k z^k$  right hand side you have the sum from  $r$  is equal to  $s$  to infinity  $f(r) z^r$  but these terms which are there when they are brought into the right hand side they will become plus.

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We have

$$A(z) = \frac{1}{C_0 + C_1z + \dots + C_kz^k} \left[ \sum_{r=0}^{\infty} f(r)z^r \right]$$

$$+ C_0(a_0 + a_1z + a_2z^2 + \dots + a_{s-1}z^{s-1})$$

$$+ C_1z(a_0 + a_1z + a_2z^2 + \dots + a_{s-2}z^{s-2})$$

$$+ \dots$$

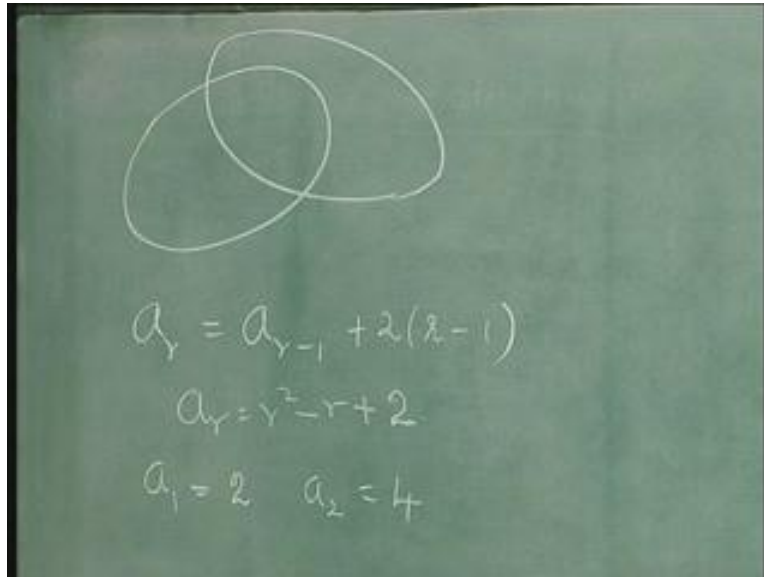
$$+ C_kz^k(a_0 + a_1z + a_2z^2 + \dots + a_{s-k-1}z^{s-k-1})$$

So you get  $C_0a_0$  plus  $a_1z$  plus  $a_2z$  squared etc then  $C_1z$  into  $a_0$  plus  $a_1z$  etc and so on up to  $C_kz$  power  $k$   $a_0$  plus  $a_1z$  plus  $a_2z$  so on. So you get a much closed form expression for the generating function. And in general this expression will be such that it will be very easy to find the simple expression for  $a_r$ . Let us consider a few more examples and solve them by using the method of generating functions.

Let us consider the same example which we have considered in the last lecture;  $a_r$  be the number of regions into which the plane is divided when you draw  $r$  ovals each oval cutting every other oval in two points. And this is the recurrence relation we obtained in the last lecture and the solution for this is  $a_r$  is equal to  $r$  squared minus  $r$  plus 2 this is the answer we got by making use of homogenous solution and particular solution. Now, let us see whether we can get this by making use of the idea of a generating function. The boundary condition is  $a_1$  when you just draw one oval it divides the plane into two regions so  $a_1$  is equal to 2,  $a_2$  is equal to when you draw two ovals it divides the plane into four regions so  $a_2$  is equal to 4.

Now, we can check that  $a_1$  is 1 minus 1 plus 2 2,  $a_2$  is 2 square minus 2 plus 2 is 4 and so on. Now,  $a_0$  does not have any meaning because when you do not have any oval it does not make any sense but you choose  $a_0$  so that it satisfies this recurrence relation.

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The image shows a chalkboard with a Venn diagram at the top consisting of two overlapping circles. Below the diagram, the following equations are written in chalk:

$$a_r = a_{r-1} + 2(r-1)$$
$$a_r = r^2 - r + 2$$
$$a_1 = 2 \quad a_2 = 4$$

$a_1$  is a 0 plus 2 into 1 minus 1 so  $a_0$  is equal to  $a_1$  that is equal to 2.  $a_0$  does not have a meaning but to satisfy the recurrence relation you can choose it as 2. Now, look at the recurrence relation, let us find the solution by making use of the generating function concept.  $a_r$  is equal to  $a_{r-1}$  plus 2 (r minus 1). Now, multiply by  $z$  power  $r$  then you get  $a_r z$  power  $r$  is equal to  $a_{r-1} z$  power  $r$  plus 2 (r minus 1)  $z$  power  $r$  sum from  $r$  is equal to 1 to infinity so  $r$  is equal to 1 to infinity  $a_r z$  power  $r$  is equal to  $\sum_{r=1}^{\infty} a_{r-1} z^r$  plus  $\sum_{r=1}^{\infty} 2(r-1) z^r$ .

Now this we have seen is of the form  $a_1 z$  plus  $a_2 z^2$  so if you represent the generating function for  $a_0, a_1$  as  $A(z)$  this is  $A(z)$  minus  $a_0$  and this again has in the previous example the general term has to be  $a_{r-1} z^{r-1}$  so if you take that  $z$  out it will be  $a_0$  plus  $a_1 z$  etc which is  $A(z)$ . Then this term is, if you take that  $2 z^2$  squared out it will be  $r$  is equal to 1 to infinity  $(r-1) z^{r-2}$ . This is of the form when  $r$  is equal to 1 it is 0 when  $r$  is equal to 2  $2 - 1 z^{2-2}$  it will be 1 so it will be  $1 + 2z + 3z^2 + 4z^3 + 5z^4 + \dots$  and so on, so it will be like that. This expression will be  $1 + 2z + 3z^2 + 4z^3 + 5z^4 + \dots$  This you know is  $1 / (1-z)^2$ . So making use of that here you get  $A(z) - 1 - z = 2z^2 / (1-z)^2$ . But we have seen that  $a_0$  is just 2 and this is  $2z^2 / (1-z)^2$ .

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$$\begin{aligned}
 a_r &= a_{r-1} + 2(r-1) \\
 a_r z^r &= a_{r-1} z^r + 2(r-1) z^r \\
 \sum_{r=1}^{\infty} a_r z^r &= \sum_{r=1}^{\infty} a_{r-1} z^r + \sum_{r=1}^{\infty} 2(r-1) z^r \\
 A(z) - a_0 &= z A(z) + 2z^2 \sum_{r=1}^{\infty} (r-1) z^{r-2} \\
 A(z)(1-z) &= a_0 + \frac{z^2}{(1-z)^2} \\
 &= 2 + \frac{z^2}{(1-z)^2}
 \end{aligned}$$

So with this you get the expression for  $A(z)$  like that;  $A(z)$  is  $2$  by  $1$  minus  $z$  plus  $2z$  cube by  $1$  minus  $z$  the whole cube. This is the generating function for the sequence  $a_0 a_1 a_2 a_3$  etc. Now the general term is, what will be the general term?  $z$  power  $r$  that is  $2z^r$  it should be like that, here you want to find the term for  $r$  that is  $2$  you have to find the coefficient of  $z^{r-2}$  here so that when you multiply by  $z$  square you get  $z$  power  $r$ . But what is  $1$  by  $1$  minus  $z$  cube? It is  $1$  plus  $3z$  plus  $3$  into  $4$  by  $2z$  square plus  $4$  into  $5$  by  $2z$  cube and so on. The general term or the coefficient of  $z$  power  $r$  minus  $2$  will be  $r$  minus  $1$  into  $r$  by  $2$  so making use of that here it will be  $2(r-1)(r-2)$  the coefficient of  $z$  power  $r$  here will be this, this  $2$  and  $2$  will get cancelled so coefficient of  $z$  power  $r$  that is  $a_r$   $a_r$  is given by  $2$  plus  $r$  into  $r$  minus  $1$  which is  $r$  squared minus  $r$  plus  $2$ .



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$$A(z) = \frac{2}{1-z} + \frac{z}{(1-z)^2}$$

$$G(r) = 2z^r + 2 \frac{(r-1)}{2} z^r$$

$$\frac{1}{(1-z)^2} = 1 + z + 2z^2 + 3z^3 + \dots$$

$$\text{Coeff of } z^r = 2 + \frac{(r-1)z^{r-2}}{2}$$

$$a_r = 2 + r(r-1)$$

$$a_r = r^2 - r + 2$$

So the expression for  $a_r$  is  $r$  squared minus  $r$  plus 2 which is the same as we got in the last lecture by making use of homogenous solutions and particular solutions. So we see how to solve the same problem by means of the generating function method and also by homogenous solution and particular solution. Let us take one simpler example and solve it in both the ways and see the how the answers agree.

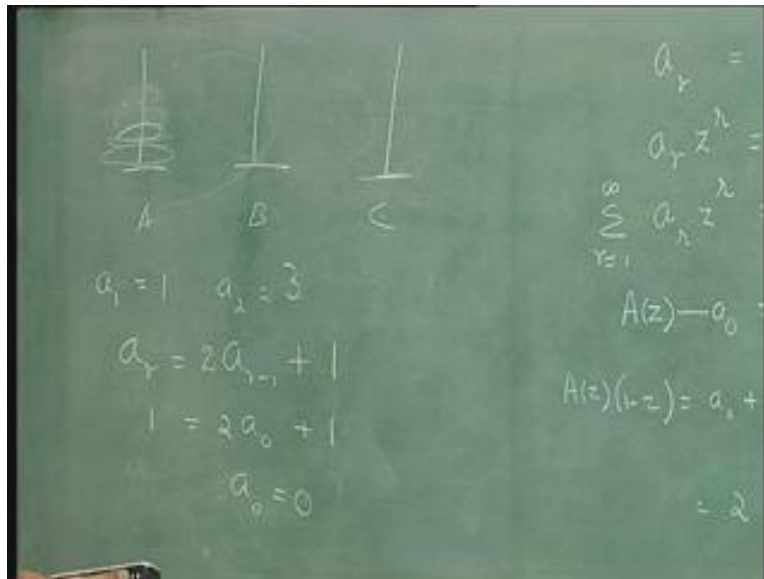
Consider the tower of Hanoi problem, what is the tower of Hanoi problem?

You have three **pecks** a b c and in one **peck** you have disks in the decreasing order, the smaller disk is placed above the bigger disk and you want to transfer all of them from this **peck** a to **peck** b intermediately you can use **peck** c. the transfer should be done in a such a way that at no time smaller disk lies below a bigger disk or a bigger disk lies above a smaller disk.

So, if you have  $a_1$  that is just one disc it requires only one transfer but if you have two discs like this first you can put it here then transfer the second one here then again transfer this here so  $a_2$  will be 3. In general when you have  $n$  discs you transfer  $(n - 1)$  of them here transfer the  $n$ th disc here then transfer again the  $(n - 1)$  disc to this place making use of the remaining **peck** as an intermediary **peck**.

Therefore, the expression you get is  $a_r$  is equal to  $2a_{r-1} + 1$  this is the recurrence relation. And when you have this recurrence relation what is the solution. And you have  $a_1$  is equal to 1 and  $a_2$  is equal to 3 so what will be the value of  $a_0$ ?  $a_0$  has no disc so it has to be 0 but let us see  $a_1$  is  $2a_0 + 1$  which gives you  $a_0$  is equal to 0. This is also meaningful because when you do not have any discs no transfer needs to take place and the number of steps is 0. So  $a_0$  is 0 in this case.

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Now, let us see how we make use of the homogenous solution and the particular solution method to solve this. So  $a_{r-2} a_{r-1}$  is equal to 1 so the characteristic equation is  $x - 2 = 0$  or  $x = 2$  is a root so homogenous solution is  $a_r = 2^r$ . We have to find the value of  $a$  from boundary conditions. Now what is the particular solution the right hand side is a constant and 1 is not a root so you can take the particular solution in the form  $p$  a constant so  $p - 2p = 1$  or  $p = -1$ . So the total solution will be homogenous solution  $a_h$  plus  $a_p$  and that will be  $2^r - 1$ . So you have the expression for  $a_r$  as  $a_r = 2^r - 1$  you know that  $a_1 = 1$ . So  $1 = 2^1 - 1$  so  $1 = 2A - 1$ ,  $2A = 2$  so  $A = 1$ . So the expression for  $a_r$  is  $2^r - 1$ . This is the number of steps required to transfer  $r$  discs from **peck a** to **peck b** making use of an intermediary **peck c** such that at no point of time a bigger disc lies above a smaller disc. The expression is given by  $a_r = 2^r - 1$ .

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The image shows a chalkboard with the following handwritten work:

$$a_r = A 2^r - 1$$
$$a_1 = 1 \quad 1 = A 2^1 - 1$$
$$1 = 2A - 1$$
$$2A = 2$$
$$A = 1$$
$$\underline{a_r = 2^r - 1}$$

Let us see how the same problem can be solved by means of generating function method. So we have to get the answer  $a_r$  is equal to  $2^r - 1$ . So we start with this recurrence relation it is valid for  $r$  is equal to 1 so this is  $r - 1$ . So multiply by  $z^r$  so you get  $a_r z^r - 2 a_{r-1} z^r = z^r$ . Then sum from  $r = 1$  to infinity so that will be  $\sum_{r=1}^{\infty} a_r z^r - 2 \sum_{r=1}^{\infty} a_{r-1} z^r = \sum_{r=1}^{\infty} z^r$ . So, this you know is  $A(z) - a_0$  and this is  $2z A(z) - 2a_0$  you will take out and then  $A(z)$  is equal to  $\frac{z}{1-z}$  because  $z$  you can take out then it will be a sum  $1 + z + z^2 + \dots$  which is  $\frac{1}{1-z}$ .

Now this becomes  $a_0 = 0$  so this becomes  $A(z) - 1 - 2z A(z) = \frac{z}{1-z}$  or  $A(z) - 2z A(z) = \frac{z}{1-z} + 1$ . So resolving into partial fractions let  $\frac{z}{1-z} + 1 = \frac{a}{1-2z} + \frac{b}{1-z}$ . So in this case  $z$  is equal to  $a(1-z) + b(1-2z)$  equating the constant terms  $a + b = 0$  equating the coefficient of  $z$  you have  $-a - 2b = 1$  or  $a + 2b = -1$   $a + b = 0$  subtract you get  $b = -1$ . And because  $a + b = 0$   $a$  is equal to  $-b$  or  $1$   $a = 1$  and  $b = -1$ .

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Handwritten mathematical derivation on a chalkboard:

$$\frac{z}{(1-2z)(1-z)} = \frac{A}{1-2z} + \frac{B}{1-z}$$

$$z = A(1-z) + B(1-2z)$$

$A + B = 0$   
 $-A - 2B = 1$   
 $A + 2B = -1$   
 $A + B = 0$   
 $B = -1$   
 $A = -B = 1$

$$A(z) = \frac{1}{1-2z} - \frac{1}{1-z}$$

On the right side of the board, the generating function is written as  $A(z) = \frac{z}{1-z}$ . Below it, the general term is given as  $a_r = 2^r - 1$ .

So you get  $A(z)$  the generating function as  $1$  by  $1$  minus  $2z$  minus  $1$  by  $1$  minus  $z$ . Now, for the solution you have to find the general term  $1$  by  $1$  minus  $2z$  will be  $1$  plus  $2z$  plus  $2z$  the whole square plus  $2z$  the whole cube and so on. So general term is  $2$  power  $r$   $z$  power  $r$  and  $1$  by  $1$  minus  $z$  is  $1$  plus  $z$  plus  $z$  squared and so on so the general term is  $z$  power  $r$ . So, if you take the coefficient of  $z$  power  $r$  in  $A(z)$  the first term will contribute to  $2$  power  $r$  so  $a_r$  that is the coefficient of  $z$  power  $r$  the first term will contribute to  $2$  power  $r$  and you have a minus here so minus the coefficient of  $z$  power  $r$  in the second term that is  $1$ .

(Refer Slide Time: 32:40)

Handwritten mathematical derivation on a chalkboard:

$$\frac{1}{1-2z} = 1 + 2z + (2z)^2 + (2z)^3 + \dots$$

g.t.  $2^r z^r$

$$\frac{1}{1-z} = 1 + z + z^2 + \dots$$

g.t.  $z^r$

Coeff of  $z^r$  in  $A(z)$

$$a_r = 2^r - 1$$

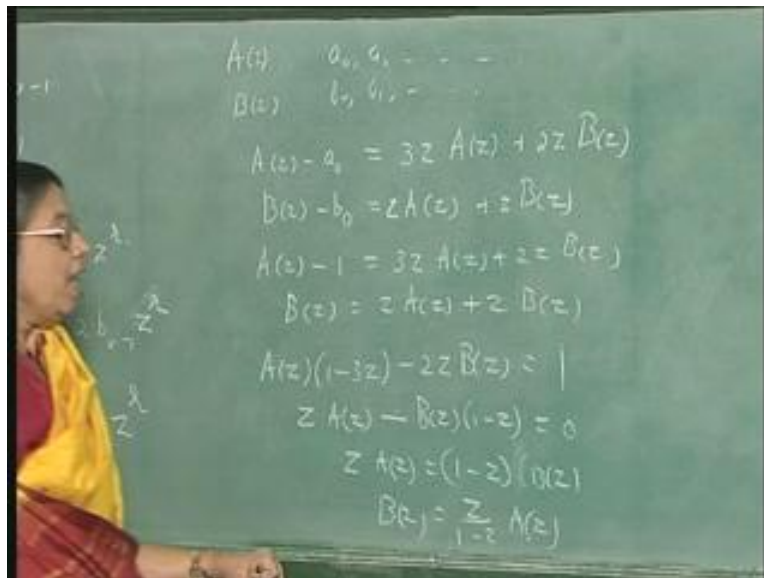
The final result  $a_r = 2^r - 1$  is underlined twice.

So the expression you get is  $a_r$  is equal to  $2^r - 1$  which is the same as we obtained in the earlier case. So the same problem we have solved by making use of homogenous and particular solutions then also we get the same answer. By the method of generating function also we get the same answer. But the generating function method is much more elegant than the earlier one. Sometimes we get generating equations of this form  $a_r$  is equal to  $3a_r - 1$  plus  $2b_r - 1$  plus  $b_r$  is equal to  $a_r - 1$  plus  $b_r - 1$ . Here there are two variables you have to solve for both  $a_r$  and  $b_r$ . Here the method of generating function comes in handy, we can solve in a very elegant manner making use of generating functions.

The boundary conditions are given like this as  $a_0$  is equal to 1 and  $b_0$  is equal to 0. Then again use the same method, multiply by  $z^r$  so  $a_r z^r$  is equal to  $3a_r z^r - z^r$  plus  $2b_r z^r - z^r$  and summing from  $r=1$  to infinity this is  $\sum_{r=1}^{\infty} a_r z^r$  is equal to  $\sum_{r=1}^{\infty} (3a_r - 1) z^r$  plus  $\sum_{r=1}^{\infty} (2b_r - 1) z^r$ . Now, similarly you will also get  $\sum_{r=1}^{\infty} b_r z^r$  is equal to  $\sum_{r=1}^{\infty} (a_r - 1) z^r$  plus  $\sum_{r=1}^{\infty} (b_r - 1) z^r$ .

Rewriting this, If  $A(z)$  is the generating function for  $a_0, a_1$  etc and  $B(z)$  is the generating function for  $b_0, b_1$  etc then these two equations become  $A(z) - a_0$  is equal to  $3zA(z) + 2zB(z)$  and this second equation becomes  $B(z) - b_0$  is equal to  $zA(z) + zB(z)$ ,  $a_0$  is 1 and  $b_0$  is 0 by the initial condition. So you have  $A(z) - 1$  is equal to  $3zA(z) + 2zB(z)$  and  $B(z)$  is equal to  $zA(z) + zB(z)$ . Writing it as simultaneous equations you get  $A(z)(1 - 3z) - 2zB(z) = 1$  the first equation becomes like this. The second equation becomes  $zA(z) - B(z)(1 - z) = 0$  or  $zA(z) = (1 - z)B(z)$ . Make use of this in the first equation.

(Refer Slide Time: 37:25)



So you get  $A(z)(1 - 3z) - 2zB(z) = 1$  instead of  $B(z)$  again you can write this will be  $z$  by  $1 - z$  minus  $zA(z)$  is equal to 1 or you get  $A(z)(1 - 3z)(1 - z) - 2z^2A(z) = 1$  or  $A(z)(1 - 3z - z + 3z^2 - 2z^2) = 1$  or  $A(z)(1 - 3z - z + 3z^2 - 2z^2) = 1$ .

minus z or  $A(z)$  is equal to  $1 - z$  by  $1 - 4z + z^2$  and  $B(z)$  is  $z$  by  $1 - z$ .  $A(z)$  that is  $z$  by  $1 - z$  into  $1 - 4z + z^2$  this will get cancelled and so you get is equal to  $z$  by  $1 - 4z + z^2$ . So the expression for  $A(z)$  is this.

(Refer Slide Time: 39:07)

The image shows a chalkboard with the following handwritten work:

$$A(z)(1-3z) - 2z \frac{z}{1-z} A(z) = 1$$

$$\frac{A(z)(1-3z)(1-z) - 2z^2 A(z)}{1-z} = 1$$

$$A(z)(1-3z-z+3z^2-2z^2) = (1-z)$$

$$A(z) = \frac{1-z}{1-4z+z^2}$$

$$B(z) = \sum_{n=0}^{\infty} A(z)$$

$$\frac{z}{1-4z+z^2} = \frac{z}{1-z} \frac{1-z}{1-4z+z^2}$$

The expression for  $B(z)$  is this. Having obtained this can you get the value of  $a_r$  and  $b_r$ ? Now, this is a quadratic expression you have to resolve into partial fractions to get the roots. So  $1 - 4z + z^2$  plus  $z$  squared what are the roots? The roots are given by  $4 \pm \sqrt{4^2 - 4}$  by  $2$ . That is  $2 \pm \sqrt{12}$  by  $2$  which is  $2 \pm \sqrt{3}$ . So having obtained like this first let us solve for  $a$ ,  $A(z)$  is equal to  $1 - z$  by  $1 - 4z + z^2$  this you can write as  $a$  by  $1 - 2 + \sqrt{3}z$  resolving into partial fractions you can write like this plus  $b$   $(1 - 2 - \sqrt{3}z)$ .

Now you have to find the value of  $a$  and  $b$ . How do you find that  $1 - z$  is equal to  $a(1 - 2 + \sqrt{3}) + b(1 - 2 - \sqrt{3})z$ . So equating the constants  $a + b$  is equal to  $1$  this is equating the constants. Then equating the coefficient of  $z$  you have  $-1$  is equal to  $a(2 - \sqrt{3}) - b(2 + \sqrt{3})$  this is equal to, so  $1$  is equal to if you remove the minus sign it will be  $a(2 - \sqrt{3}) - b(2 + \sqrt{3})$ .

(Refer Slide Time: 42:12)

$$1 - 4z + z^2 = \frac{4 \pm \sqrt{4^2 - 4}}{2} = \frac{2 \pm \sqrt{12}}{2} = 2 \pm \sqrt{3}$$

$$A(z) = \frac{1-z}{1-4z+z^2} = \frac{A}{1-(2+\sqrt{3})z} + \frac{B}{1-(2-\sqrt{3})z}$$

$$1-z = A(1-(2-\sqrt{3})z) + B(1-(2+\sqrt{3})z)$$

$$A+B=1 \quad (\text{const})$$

$$-1 = A(-1)(2-\sqrt{3}) - B(2+\sqrt{3})$$

$$1 = A(2-\sqrt{3}) + B(2+\sqrt{3})$$

$$1 = (1-B)(2-\sqrt{3}) + B(2+\sqrt{3})$$

Now making use of this a is 1 minus b so 1 is equal to a is 1 minus b (2 minus root 3 plus b) (2 plus root 3) let us simplify and see what we get. We get 1 is equal to (2 minus root 3 minus b) (2 minus root 3 plus b) (2 plus root 3). If you take the b here B(2 minus root 3 minus 2 minus root 3) is equal to 2 minus root 3 minus 1 that will be B into this will get cancelled minus 2 root 3 is equal to 1 minus root 3. So B will be root 3 minus 1 by 2 root 3 multiplying by root 3 you get 3 minus root 3 by 6 the value of B you get as 3 minus root 3 by 6. The value of A is 1 minus B that is given by 1 minus 3 minus root 3 by 6 is given by 6 minus 3 plus root 3 by 6 is 3 plus root 3 by 6.

(Refer Slide Time: 44:06)

$$1 = (2-\sqrt{3}) - B(2-\sqrt{3}) + B(2+\sqrt{3})$$

$$B(2+\sqrt{3}-2+\sqrt{3}) = 2-\sqrt{3}-1$$

$$-B(2\sqrt{3}) = 1-\sqrt{3}$$

$$B = \frac{\sqrt{3}-1}{2\sqrt{3}} = \frac{3-\sqrt{3}}{6}$$

$$A = 1 - B = 1 - \frac{3-\sqrt{3}}{6} = \frac{6-3+\sqrt{3}}{6} = \frac{3+\sqrt{3}}{6}$$

$$A(z) = \frac{1-z}{1-4z+z^2} = \frac{3+\sqrt{3}}{6} \frac{1}{1-(2+\sqrt{3})z} + \frac{3-\sqrt{3}}{6} \frac{1}{1-(2-\sqrt{3})z}$$

So the expression becomes the value of  $A(z)$  where  $A(z)$  is given by  $\frac{3 + \sqrt{3}}{6} \frac{1}{1 - 2 + \sqrt{3}z}$  where  $b$  is  $\frac{3 - \sqrt{3}}{6}$  so this will be  $\frac{1 - 2 - \sqrt{3}z}{6}$ . So the general term will be coefficient of  $z^r$  will be  $\frac{3 + \sqrt{3}}{6}$  and  $\frac{1 - 2 - \sqrt{3}z}{6}$  to the power of  $r$  plus  $\frac{3 - \sqrt{3}}{6}$  and  $1 - 2 - \sqrt{3}z$  to the power of  $r$  this will be the coefficient of  $z^r$ . So this is the expression for  $a_r$ ,  $a_r$  is given by this.

Let us check for the value of  $r$  is equal to 0 the boundary condition is  $a_0$  is 1, see whether it works out, put  $r$  is equal to 0 this is 1 this is 1 so you get  $a_0$  is equal to  $\frac{3 + \sqrt{3}}{6} \frac{1}{1 - 2 + \sqrt{3}}$  plus  $\frac{3 - \sqrt{3}}{6} \frac{1}{1 - 2 - \sqrt{3}}$  is equal to  $\frac{6}{6}$  is equal to 1.  $a_0$  is 1 and that is what we have taken as the boundary condition it verifies here. So similarly you can also find the expression for  $B(z)$ . Now,  $B(z)$  is given by  $\frac{z}{1 - 4z + z^2}$ . So making use of partial fractions this can be written as  $\frac{a}{1 - 2 + \sqrt{3}z} + \frac{b}{1 - 2 - \sqrt{3}z}$  you can write this way. So, that means  $z$  is equal to  $a(1 - 2 + \sqrt{3}z) + b(1 - 2 - \sqrt{3}z)$  or  $z$ .

Equating the constants  $a + b$  is equal to 0 these are constants and considering the coefficient of  $z$   $a(2 - \sqrt{3}) - b(2 + \sqrt{3})$  is equal to 1. So from the first one  $a$  is equal to  $-b$  make use of that here  $a$  is  $-b$  so  $-b(2 - \sqrt{3}) - b(2 + \sqrt{3})$  is equal to 1 or  $b(2 - \sqrt{3} - 2 - \sqrt{3})$  is equal to  $1 - 2\sqrt{3}b$  is equal to 1 or  $b$  is equal to  $\frac{1}{2\sqrt{3}}$  with the minus sign. And  $a$  is  $-b$  that is  $-\frac{1}{2\sqrt{3}}$  multiply by  $\sqrt{3}$  and write it as  $-\frac{\sqrt{3}}{6}$  multiplying the numerator and denominator by  $\sqrt{3}$  you get this. Similarly, this is  $\frac{\sqrt{3}}{6}$  and this is  $\frac{\sqrt{3}}{6}$ .

(Refer Slide Time: 44:06)

$$A = -B$$

$$B(2 - \sqrt{3}) - B(2 + \sqrt{3}) = 1$$

$$B(2 - \sqrt{3} - 2 - \sqrt{3}) = 1$$

$$-2\sqrt{3}B = 1$$

$$B = \frac{-1}{2\sqrt{3}} = -\frac{\sqrt{3}}{6}$$

$$A = \frac{1}{2\sqrt{3}} = \frac{\sqrt{3}}{6}$$

So with this result  $B(z)$  is taken to be  $\frac{a}{1 - 2 + \sqrt{3}z}$  so here  $a$  is  $\frac{\sqrt{3}}{6}$  by  $\frac{1 - 2 + \sqrt{3}z}{6}$  plus  $\frac{b}{1 - 2 - \sqrt{3}z}$  minus in same thing  $\frac{\sqrt{3}}{6} \frac{1 - 2 + \sqrt{3}z}{6}$  minus  $\frac{\sqrt{3}}{6} \frac{1 - 2 - \sqrt{3}z}{6}$  this is the generating function for  $b_r$ ,  $B(z)$  is generating for the sequence  $b_0, b_1, b_2$  and so on. The general term here will be  $\frac{\sqrt{3}}{6}$  and  $1 - 2 + \sqrt{3}z$  to the power of  $r$  minus  $\frac{\sqrt{3}}{6}$  and  $1 - 2 - \sqrt{3}z$  to the power of  $r$ . And the general term here will be again



root 3 by 6 and it is 2 minus root 3 power r z power r. So the coefficient of z power r is the value for  $a_r$  so the expression for  $b_r$  is given by root 3 by 6 into 2 plus root 3 power r minus root 3 by 6 2 minus root 3 to the power of r, so  $b_r$  is this.

(Refer Slide Time: 50:20)

The image shows a chalkboard with the following handwritten work:

$$B(z) = \frac{\sqrt{3}/6}{1-(2+\sqrt{3})z} - \frac{\sqrt{3}/6}{1-(2-\sqrt{3})z}$$

$$b_r = \frac{\sqrt{3}}{6} (2+\sqrt{3})^r z^r - \frac{\sqrt{3}}{6} (2-\sqrt{3})^r z^r$$

$$b_r = \frac{\sqrt{3}}{6} (2+\sqrt{3})^r - \frac{\sqrt{3}}{6} (2-\sqrt{3})^r$$

For  $r=0$ :

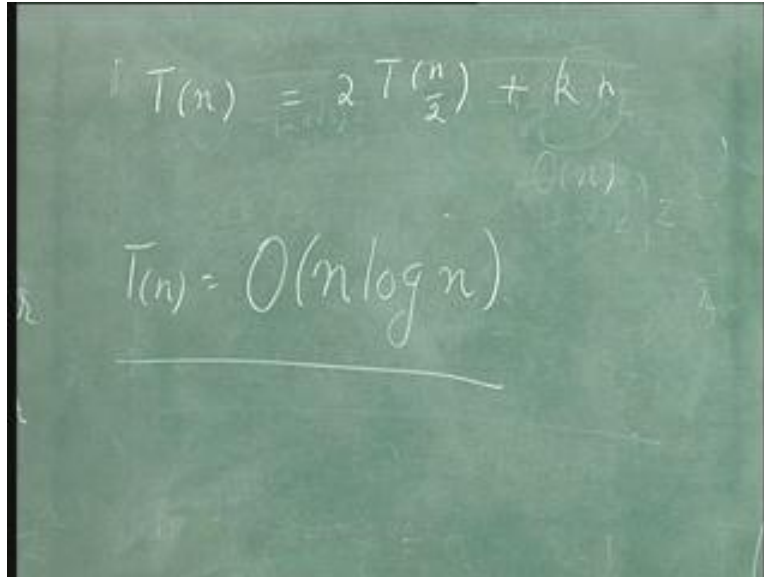
$$b_0 = \frac{\sqrt{3}}{6} - \frac{\sqrt{3}}{6} = 0$$

Let us check the value for  $b_0$  when  $r$  is equal to 0  $b_0$  is this is just 1 and this is just 1 so you get root 3 by 6 minus root 3 by 6 it is equal to 0 which verifies with the initial condition that  $b_0$  is 0. So the expression for  $b_r$  is this  $a_r$  is equal to 3 plus root 3 by 6 2 plus root 3 to the power of  $r$  plus (3 minus root 3 by 6) 2 minus 3 power  $r$  and  $b_r$  is given by this expression. So the method of generating function comes very handy in solving such simultaneous equations in recurrence relations. In general these recurrence relations are very useful in analyzing the complexity of an algorithm.

Most algorithms if you have a size of  $n$  it will be divided into two problems of size  $n$  by 2 you solve some problems of size  $n$  by 2 and then do some other operation to merge it. For example, take merge sort and you divide into two halves then sort each one of the half and then merge it and so on. In such cases if the complexity is given by  $T(n)$  when the size is  $n$  the time complexity of solving the problem is  $T(n)$  then it is divided into two sub problems of size  $n$  by 2 and apart from that there will be some more operations to get the whole solution. So that is given by two times  $T(n)$  by 2 plus something that could be of order  $n$  or order  $n$  square and so on. Suppose this is of order  $n$  the other operation involving finding the solution for the whole problem given the solution of two sub problems if it is of order  $n$  then by usual method you will find that the solution to this will give you that  $T(n)$  is of order  $n \log n$ . that is there will be some constants and we need not worry very much about those constants. **The big over notations constants are not really considered.**

So, if you have a recurrence relation of this form order  $n$  some  $k$  times  $n$  that  $k$  is a constant and  $n$  then the solution for such a recurrence relation will give you the  $T(n)$  is of order  $n \log n$ .

(Refer Slide Time: 52:58)



A chalkboard with a green surface. At the top, the recurrence relation  $T(n) = 2T\left(\frac{n}{2}\right) + kn$  is written in white chalk. Below it, the solution  $T(n) = O(n \log n)$  is written and underlined.

$$T(n) = 2T\left(\frac{n}{2}\right) + kn$$
$$\underline{T(n) = O(n \log n)}$$

Such things are very useful in analyzing the complexity of an algorithm. So recurrence relations play a very important role in many fields in Computer Science especially in analysis of algorithms and we have seen how recurrence relations can be solved by two methods, one is by the method of homogenous solution and particular solution and adding them up and another is by means of the generating function approach. So next we shall consider some other topics like algebras and so on in the coming few lectures.