

Fundamentals of Spectroscopy
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Lecture - 29
Harmonic Oscillator Eigenvalues and Eigenfunctions - I

In the study of vibrational spectroscopy, one of the most important ideas that you will need is that of the harmonic oscillator. Now, the harmonic oscillator system is that of a particle moving in a harmonic potential, which I will tell you about and we want to study how this particle behaves by using the laws of quantum mechanics. So, let us start at the beginning let us first understand what is a harmonic oscillator, in other words, what is this harmonic potential?

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Harmonic Oscillator

CLASSICAL MECHANICAL PICTURE:

$$F = -kx$$

Spring constant \leftarrow displacement

$$V(x) = \frac{1}{2} kx^2$$
$$x(t) = x_0 \cos(\omega t) \text{ where}$$
$$\omega = \sqrt{\frac{k}{m}}$$
$$\text{Total energy} = \frac{1}{2} kx_0^2 \text{ (Maximum displacement)}$$
$$\text{Total energy} = KE + PE$$
$$= \frac{kx_0^2}{2} (\sin^2 \omega t + \cos^2 \omega t)$$

PE KE Constant

00:06:25 00:31:17

So, for that, consider a system like this where you have a small mass which is free to move on a frictionless surface that is this surface here and it is attached to a wall via spring like this. Now, if you take this mass and make displace it by x , about its equilibrium position. So by including position, I mean this position when the spring is not stretched or compressed and then if I stretch the mass by a distance x and leave it, then the mass begins to oscillate back and forth.

And this oscillation is what is called harmonic motion now if you plot the motion of this particle as a function of time, so, I am going to plot time in this direction and the amplitude of the motion, then the particle initially has an amplitude like that, then it comes down it has an amplitude in this direction it goes up and it oscillates back and forth like this, about this equilibrium position this motion is what is called harmonic motion.

And the force that the spring exerts on the mass $F = -kx$ that is the force is proportional to the displacement that the particle is has with respect to the un displaced position of the spring. The corresponding potential energy is $\frac{1}{2}kx^2$ and the classical motion of this particle is given by x as a function of t is $x = x_0 \cos(\omega t)$, where ω which is the frequency of the oscillation is related to the spring constant.

So, k is called the spring constant and ω is related to the spring constant as square root of k over m . So, the classical motion is given by this x as a function of time and the graph of that is what you see here, the total classical energy of the spring, total energy is $\frac{1}{2}kx_0^2$, where x_0 is the maximum displacement of the spring the total energy is a constant and is a sum of kinetic energy and potential energy.

So, when the particle is moving fast the energy is primarily kinetic energy and when the particle is turning around and it is slowing down, then the energy is primarily potential energy. So this total energy can be written as a sum of kinetic energy and potential energy and classically, this is $\frac{1}{2}kx_0^2 \sin^2(\omega t) + \frac{1}{2}kx_0^2 \cos^2(\omega t)$. This is the kinetic energy plus cosine squared omega by 2, which is the potential energy.

If we graph the potential energy as a function of time the graph looks something like this. Initially it is all potential energy and then it decreases eigen its potential energy and decreases. So, this is potential energy and the kinetic energy is initially 0 and then that increases when the potential energy becomes 0 and then it oscillates like this. So, this is the kinetic energy and the graphs are here for the kinetic energy and here for the potential energy.

And you see that the total energy which is the sum of the potential and kinetic energy, that is constant, this is the classical picture of the motion of the particle in a harmonic potential. So what we have in this page is the classical mechanical picture. Our goal is to understand the quantum mechanical description of the harmonic oscillator and that is what we are going to look at in very great detail.

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The screenshot shows a video player window titled "Harmonic Oscillator". The main content is a whiteboard with handwritten text in blue ink:

- Quantum Mechanical Description
- $\Psi(x,t) \rightarrow$ moving with $V(x) = \frac{1}{2}kx^2$
- $\hat{H} = \hat{K} + \hat{P}E$
- $= -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + \frac{1}{2}kx^2$
- $i\hbar \frac{\partial \Psi}{\partial t} = \hat{H} \Psi \rightarrow$ Schrödinger equation
- $\hat{H} \Psi = E \Psi$ since \hat{H} does not depend on t

A video player interface is visible at the bottom, showing a progress bar at 00:08:53 and a total duration of 00:31:07. A small inset video of the lecturer is visible in the bottom right corner of the slide.

Now, our goal is to obtain a quantum mechanical description of a particle moving in a harmonic potential. More precisely, we want to find the wave function of a particle so, ψ of x , t which is moving in the harmonic potential that is moving with potential V of x is $=$ half $k x$ square. So, for this, we have to write the Hamiltonian for this system and then solve its Schrodinger equation the Hamiltonian for the system each is the kinetic energy operator plus the potential energy operator the kinetic energy is simply the one dimensional kinetic energy operator.

Which is $-\hbar^2$ square by $2m$ d^2 square by dx^2 and the potential energy is half $k x$ square. Now, our goal is to find a ψ x , t which satisfies the Schrodinger equation $i\hbar \frac{\partial \psi}{\partial t} = \hat{H} \psi$ this is the Schrodinger equation. We have seen in the lectures on the basics of quantum mechanics, that if the Hamiltonian does not depend on time which is the case here, then solving the Schrodinger equation is equivalent to solving the eigen value equation of the Hamiltonian $\hat{H} \psi = E \psi$ since, \hat{H} does not depend on time.

So, let us look at the solution of this equation $\hat{H}\psi = E\psi$ where \hat{H} is this Hamiltonian $-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + \frac{1}{2}kx^2$ and let us go and derive what are the actual eigen functions and eigen values of this Schrodinger equation.

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The slide content includes:

- Equation:**
$$\left[-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + \frac{1}{2}kx^2 \right] \psi(x) = E\psi(x)$$
- Dimensional Analysis:**
 - $k = m\omega^2$ (dimension: mass/time²)
 - $\frac{1}{2}kx^2 \rightarrow$ dimension of energy (mass length²/time²)
- Dimensionless Coordinate:**
 - $q = \sqrt{\frac{m\omega}{\hbar}} x$ (Dimensionless)
 - $J_S \rightarrow ML^2T^{-1}$
- Derivative Transformation:**
 - $\frac{d}{dx} = \left(\frac{dq}{dx} \right) \frac{d}{dq}$
 - $\frac{d}{dx} = \sqrt{\frac{m\omega}{\hbar}} \frac{d}{dq}$
 - $\frac{d^2}{dx^2} = \left(\frac{m\omega}{\hbar} \right) \frac{d^2}{dq^2}$

Our goal is to solve the following Schrodinger equation $-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} \psi(x) + \frac{1}{2}kx^2 \psi(x) = E\psi(x)$. We note that $k = m\omega^2$ from the classical solution of the harmonic oscillator. We also see that this is consistent with k having dimensions of mass by time squared. Because $\frac{1}{2}kx^2$ has dimension of energy which is mass length squared divided by time squared.

So since x^2 has dimension of length squared, k must have dimension of mass by time squared. So taking k to be $m\omega^2$, we write the Schrodinger equation as $-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} \psi(x) + \frac{m\omega^2}{2} x^2 \psi(x) = E\psi(x)$, we need to find a $\psi(x)$, which satisfies this equation to obtain solutions of the Schrodinger equation, that is to obtain the value of $\psi(x)$ that satisfies this equation.

We will use the method of ladder operators and for that, let me begin by defining dimensionless coordinate q which is related to x in the following manner so, q is equal to square root of $m\omega/\hbar$ times x . Let us verify that this q is indeed dimensionless. So, if you take the dimensions of all the other quantities, M has dimensions of mass, ω has dimensions of inverse of time, \hbar has dimensions of Joule second.

So, dimensions are mass length squared time inverse so, you see that inside the square root the mass in the numerator and denominator cancel and the time inverse in the numerator and denominator cancel and you are left with one over L squared square root, which is one over L. So the dimension of this entire square root is L inverse and the dimension of the X is L. So the dimension of this entire Q is dimensionless.

We will now write the Schrodinger equation in terms of Q and to do that, we need to write d squared by dx squared in terms of q. Let us start by writing d by dx in terms of q so d by dx is = d by dq and dq by dx and we know that dq by dx is = square root of m omega by h bar. So d by dx is = square root of m omega h bar d by dq d squared by dx squared is another derivative with respect to x which gives dq by dx and then a another derivative with respect to q and again we write the expression of dq by dx as square root of m omega by h bar.

So finally, we have d squared x by d squared by dx squared is equal to m omega h bar d squared by dq squared using this expression we will now write the Hamiltonian in terms of the dimensionless coordinate q and solve the Schrodinger equation for the dimensionless coordinate and in the end, you can always come back to the coordinate x by using the conversion factor. The reason we use the dimensionless coordinate is to simplify the math, which will follow in the ladder operator approach and we will understand this in detail as we proceed

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The slide titled "Harmonic Oscillator" contains the following derivations:

- Transformation: $q = \sqrt{\frac{m\omega}{\hbar}} x$ and $\frac{d}{dx} = \frac{m\omega}{\hbar} \frac{d}{dq}$
- Schrodinger equation in terms of q: $\frac{\hbar\omega}{2} \left[-\frac{d^2}{dq^2} + q^2 \right] \psi(q) = E \psi(q)$
- Operator notation: $-d^2 + q^2 \rightarrow (-d + q)(d + q)$
- Ladder operators: $\hat{A} = \frac{1}{\sqrt{2}} \left[-\frac{d}{dq} + q \right]$ and $\hat{A}^\dagger = \frac{1}{\sqrt{2}} \left[\frac{d}{dq} + q \right]$
- Hamiltonian in terms of ladder operators: $\hat{H} = \hat{A}^\dagger \hat{A} + \frac{\hbar\omega}{2}$
- Final expression: $\hat{H} = \hat{A}^\dagger \hat{A} + \frac{\hbar\omega}{2}$

Using the relation of q and x , which is $m\omega \hbar x$ and d^2/dx^2 , which is $m\omega \hbar d^2/dq^2$ in terms of q the Schrodinger equation becomes $\hbar\omega/2 - d^2/dq^2 + q^2 \psi(q) = E \psi(q)$. We notice that in the Schrodinger equation, this operator has the form $-\alpha^2 + \beta$, which as you know, can be factorized as $-\alpha + \beta$ and $\alpha + \beta$.

So, just as an experiment, let us write an operator, which is $\hbar\omega$, this $\hbar\omega$ here and whatever is in the brackets as $1/\sqrt{2-d/dq + q}$ multiplied by $1/\sqrt{2d/dq + q}$. Now, if we expand this out, we get $\hbar\omega/2 - d^2/dq^2 + q^2$ and the additional terms $-\hbar\omega/2 d/dq$ times q multiplied by q times d/dq . So, here we have the operator like in the Hamiltonian and additionally we have operator which we see here.

So, the question is what is the value of this operator? Let us try to determine this d/dq operating on $f(q) - q d/dq$ operating on $f(q)$ gives and you have to use the chain rule here $f(q) + q f'(q) - q f'(q)$ now, these 2 will cancel and you get this to be simply $f(q)$. So, the operator here is nothing but just one and this entire operator we had that we have written here is effectively $\hbar\omega$ the Hamiltonian $-\hbar\omega/2$.

Now, if we give some special names to the operators here and here so, we call the first one as the b^\dagger and this other operator as b , then we can see that the Hamiltonian which is the operator $A + \hbar\omega/2 = \hbar\omega b^\dagger b + \hbar\omega/2$ that is $\hbar\omega b^\dagger b + \hbar\omega/2$. So, effectively, we have written the Hamiltonian operator in terms of 2 new operators which we have defined, which are b^\dagger and b and we will see how this will help us actually solve the Schrodinger equation $H\psi = E\psi$.

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lect 29 - fs harmonic oscillator - i **Harmonic Oscillator**

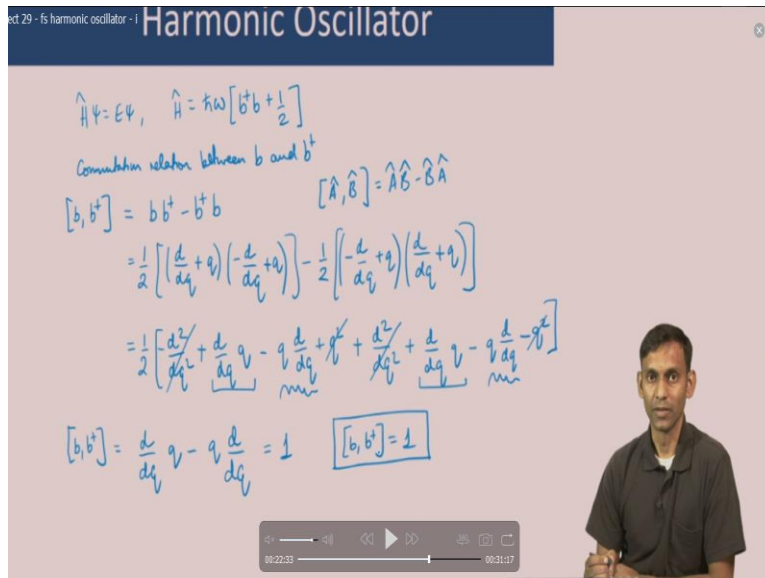
$$\hat{H}\psi = E\psi, \quad \hat{H} = \hbar\omega \left[b^\dagger b + \frac{1}{2} \right]$$

Commutation relation between b and b^\dagger

$$[b, b^\dagger] = b b^\dagger - b^\dagger b \quad [A, B] = AB - BA$$

$$= \frac{1}{2} \left[\left(\frac{d}{dq} + q \right) \left(-\frac{d}{dq} + q \right) \right] - \frac{1}{2} \left[\left(-\frac{d}{dq} + q \right) \left(\frac{d}{dq} + q \right) \right]$$

$$= \frac{1}{2} \left[\frac{d^2}{dq^2} + \frac{d}{dq} q - q \frac{d}{dq} + q^2 + \frac{d^2}{dq^2} + \frac{d}{dq} q - q \frac{d}{dq} - q^2 \right]$$

$$[b, b^\dagger] = \frac{d}{dq} q - q \frac{d}{dq} = 1 \quad \boxed{[b, b^\dagger] = 1}$$


Our goal now is to solve $\hat{H}\psi = E\psi$, where \hbar is written in terms of the 2 new operators which we have defined b^\dagger and b . So, this is $\hbar\omega b^\dagger b + \frac{1}{2}\hbar\omega$, it is helpful to derive a commutation relation between these 2 new operators b^\dagger and b . So, we want a commutation relation between b and b^\dagger . By commutation relation, the mean what is the value of the commutator $b b^\dagger$?

So, this is the symbol of a commutator the square brackets and the commutator simply means, $b b^\dagger - b^\dagger b$. In general the commutation operator between 2 operators A and B is $AB - BA$. So, in our case, the commutation between $b b^\dagger$ is written out here and if you write this explicitly in terms of the q this becomes $b = \frac{1}{\sqrt{2}} \left(\frac{d}{dq} + q \right)$ and this one over square root of 2 has been taken out as half outside and $b^\dagger = \frac{1}{\sqrt{2}} \left(-\frac{d}{dq} + q \right)$ and we have minus half b^\dagger is minus $\frac{1}{\sqrt{2}} \left(-\frac{d}{dq} + q \right)$ multiplied by $b = \frac{1}{\sqrt{2}} \left(\frac{d}{dq} + q \right)$.

When we expand this out, this becomes half $-\frac{d^2}{dq^2} + \frac{d}{dq} q - q \frac{d}{dq} + q^2 + \frac{d^2}{dq^2} + \frac{d}{dq} q - q \frac{d}{dq} - q^2$. Several terms here cancel so for example, this first term cancels with this term, and the q^2 term cancels the $-q^2$ term and furthermore, this term $\frac{d}{dq} q$ and $-q \frac{d}{dq}$ appears twice and similarly this term here appears twice.

So, we can write this $b b^\dagger$ commutator as the $\frac{d}{dq} q - q \frac{d}{dq}$ and this operator we have seen is simply equal to what we have just derived this in the previous slide. So, the final

result we get is the commutator of BB dagger is equal to one which we will use in our derivation going ahead.

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The slide content includes the following equations and notes:

- $\hat{H}\psi = E\psi$
- $\hbar\omega\left[b^\dagger b + \frac{1}{2}\right]\psi = E\psi$
- premultiply b^\dagger on both sides
- $b^\dagger E\psi = E b^\dagger\psi$
- $\hbar\omega\left[b^\dagger b b^\dagger + \frac{b^\dagger}{2}\right]\psi = E b^\dagger\psi$
- commutation relation, $[b, b^\dagger] = 1$
 $b b^\dagger - b^\dagger b = 1$
- $b^\dagger b = b b^\dagger - 1$
- $\hbar\omega\left[b^\dagger b b^\dagger - b^\dagger + \frac{b^\dagger}{2}\right]\psi = E b^\dagger\psi$
- add $\hbar\omega\left(\frac{b^\dagger}{2}\right)$ on both sides
- $\hbar\omega\left[b^\dagger b b^\dagger - \frac{b^\dagger}{2}\right]\psi = E b^\dagger\psi$
- $\hbar\omega\left[b^\dagger b - \frac{1}{2}\right](b^\dagger\psi) = E(b^\dagger\psi)$
- $\hat{H}(b^\dagger\psi) = (E + \hbar\omega)(b^\dagger\psi)$
- then $\hat{H}(b^\dagger\psi) = (E + \hbar\omega)(b^\dagger\psi)$
- if ψ is an eigenfunction \rightarrow eigenvalue E
- then $b^\dagger\psi$ is also an eigenfunction
- eigenvalue $E + \hbar\omega$
- ladder up operator

Let us write the eigen value equation for the Hamiltonian $H\psi = E\psi$ so, $\hbar\omega\left(b^\dagger b + \frac{1}{2}\right)\psi = E\psi$ and we want to find what ψ satisfies this equation. So, let us pre multiply or multiply from the left by b^\dagger on both sides of the equation that gives $\hbar\omega\left(b^\dagger b b^\dagger + \frac{b^\dagger}{2}\right)\psi = E b^\dagger\psi$ $b^\dagger b$ is a linear operator and so, I could write $b^\dagger E\psi$ as $E b^\dagger\psi$, which is what I have here.

Now, we notice in this equation that we have a b^\dagger operating on ψ here and a b^\dagger operating on ψ here, but in this term, we have b operating on ψ . So, let us try to interchange the b^\dagger and b and for this we can use the commutation relation which we have just derived which is $b b^\dagger - b^\dagger b = 1$ or in other words $b b^\dagger = b^\dagger b + 1$ or $b^\dagger b = b b^\dagger - 1$.

So, if I substitute this $b^\dagger b$ here then I will get $\hbar\omega\left(b^\dagger b b^\dagger - 1 + \frac{b^\dagger}{2}\right)\psi = E b^\dagger\psi$ which is simply $\hbar\omega\left(b^\dagger b b^\dagger + \frac{b^\dagger}{2}\right)\psi = E b^\dagger\psi$ and this gives $\hbar\omega\left(b^\dagger b b^\dagger - b^\dagger + \frac{b^\dagger}{2}\right)\psi = E b^\dagger\psi$ and this gives $\hbar\omega\left(b^\dagger b b^\dagger - \frac{b^\dagger}{2}\right)\psi = E b^\dagger\psi$ is equal to $E b^\dagger\psi$. If we want to make this operator on the left hand side look like the Hamiltonian operator.

Then we had from here you add $\hbar \omega$ $b^\dagger \psi$ on both sides and this gives $\hbar \omega b^\dagger b + \frac{1}{2} \hbar \omega b^\dagger \psi$ is equal to $E + \hbar \omega b^\dagger \psi$. Now, this operator on the left hand side here is nothing but the Hamiltonian operator. So, we have Hamiltonian operating on $b^\dagger \psi$ gives $E + \hbar \omega b^\dagger \psi$. This implies that if $\hbar \psi = E \psi$ then each of $b^\dagger \psi = E + \hbar \omega b^\dagger \psi$ so, if ψ is an eigen function then $b^\dagger \psi$ is also an eigen function.

And if ψ has eigen value E then $b^\dagger \psi$ has eigen value $E + \hbar \omega$ so, if you have an eigen function of the harmonic oscillator Hamiltonian, then operating with b^\dagger on that eigen function gives another eigen function, but with an eigen value which is increased by $\hbar \omega$. So, this operator b^\dagger is raising the energy of the eigen function and giving another eigen function and this b^\dagger operator is sometimes called the ladder up operator.

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The slide content includes the following text and diagrams:

Harmonic Oscillator

$\hat{H} = \hbar\omega \left[b^\dagger b + \frac{1}{2} \right]$

If $\hat{H}\psi = E\psi$

$\hat{H}(b^\dagger\psi) = (E + \hbar\omega)(b^\dagger\psi)$

Then $\hat{H}\phi = E'\phi$

$b^\dagger\psi$ is also an eigenfunction with eigenvalue $E' + \hbar\omega$

i.e. $b^\dagger(b^\dagger\psi)$

$\hat{H}(b^\dagger(b^\dagger\psi)) \rightarrow E + 2\hbar\omega$

$b^\dagger \rightarrow$ gives another eigenfunction with eigenvalue increased by $\hbar\omega$

The diagram on the right shows three energy levels represented by horizontal lines. The top level is labeled $E + \hbar\omega$, the middle level is E , and the bottom level is $E - \hbar\omega$. Vertical double-headed arrows between the levels are labeled $\hbar\omega$, indicating the energy spacing between adjacent levels.

To summarize up to now we have seen that the Hamiltonian of the harmonic oscillator is $\hbar \omega b^\dagger b + \frac{1}{2} \hbar \omega$ and we have seen that if ψ is an eigen function with eigen value E , then $b^\dagger \psi$ is also an eigen function with eigen value $E + \hbar \omega$. Now, if we consider this $b^\dagger \psi$ to be another eigen function, let us say ϕ then $\hat{H}\phi = E'\phi$ and this implies that $b^\dagger \phi$ is also an eigen function with eigen value.

$E' + \hbar\omega$ that is b^\dagger of $b^\dagger \psi$ is just $b^\dagger \psi$ is also an eigen function with eigen value E' is $E + \hbar\omega$ so, the total eigen value is $E + \hbar\omega + \hbar\omega$. So, in summary, $b^\dagger b^\dagger \psi$ is an eigen function of the Hamiltonian with eigen values $E + 2\hbar\omega$. We see that the operator b^\dagger operates on an eigen function and gives another eigen function with eigen value increased by $\hbar\omega$.

So, this suggests that the eigen values of the harmonic oscillator Hamiltonian are spaced by equal values and the spacing in each case is $\hbar\omega$. So, all of these are eigen values corresponding to different eigen functions and these are all obtained by operating the b^\dagger operator on one of these eigen functions the question now is what is the lowest eigen value and what are the functional forms of these different eigen functions.